

PMOC MONTHLY REPORT

Honolulu Rail Transit Project
City and County of Honolulu
Honolulu Authority for Rapid Transportation (HART)
Honolulu, HI

April 2016 (FINAL)

PMOC Contract Number: DTFT60-14-D-00012
Task Order No. 3: Honolulu Rail Transit Project
Project No: DC-27-5288
Work Order No. 1
OPs Referenced: OP 1 and 25

Jacobs Engineering Group, Inc.

319 E. Warm Springs Road, Suite 200, Las Vegas, Nevada 89119

William Tsiforas, (702) 938-5400, william.tsiforas@jacobs.com

Length of Time Assigned: Five Years (February 18, 2015 through February 17, 2020)

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1.0 EXECUTIVE SUMMARY

1.1 Project Description

- **General Description:** The Project is a 20-mile-long elevated fixed guideway rail system along Oahu's south shore between East Kapolei and Ala Moana Center. The Project will include 21 stations. The alignment is elevated, except for a 0.6-mile at-grade portion at the Leeward Community College station. The Project is planned to be delivered in four guideway segments.
 - Segment I (West Oahu/Farrington Highway) – East Kapolei to Pearl Highlands (7 miles/6 stations)
 - Segment II (Kamehameha Highway) – Pearl Highlands to Aloha Stadium (4 miles/3 stations)
 - Segment III (Airport) – Aloha Stadium to Middle Street (5 miles/4 stations)
 - Segment IV (City Center) – Middle Street to Ala Moana Center (4 miles/8 stations)
- **Length:** 20 miles
- **No. of Stations:** 21
- **Additional Facilities:** Maintenance and Storage Facility and parking facilities
- **Vehicles:** 80 vehicles
- **Ridership Forecast:** Weekday boardings – 104,300 (2020); 119,600 (2030)

1.2 Project Status

- Overall project is approximately 44.9% complete as of February 2016. The planned completion at this time is approximately 44.9% (based on HART's S-curve late plan). However, the planned completion per HART's February 2016 report was approximately 67.1%. This is a significant change in one month indicating HART's S-curve late plan was changed or is inaccurate. HART must update its S-curve to reflect the true cost and schedule variances.
- Overall design of the project is approximately 88.4% complete as of February 2016. The planned completion at this time is approximately 99.3%. However, this percent complete may change as a result of utilizing DB procurement strategy for the Airport and City Center guideway and stations sections.
- Overall construction of the project is approximately 36.6% complete as of February 2016. The planned completion at this time is approximately 36.2%. However, the planned completion per HART's February 2016 report was approximately 59.9%. A discrepancy in planned completion indicates HART's S-curve late plan was changed or is inaccurate.
- Section 2.1.3 and Appendix B of this report provide the status of the current design and construction contracts. The following table provides the summary level status of the primary construction contracts:

Description	% Complete*		Schedule Status
	Actual**	Planned	
West Oahu /Farrington Highway (WOFH) DB Contract	82%	91%	6 months behind
Kamehameha Highway Guideway (KHG) DB Contract	53.6%	95%	12 months behind
Maintenance and Storage (MSF) DB Contract	92.4%	92.6%	On time
Core Systems Design-Build-Operate-Maintain (DBOM) Contract	29.5%	43.3%	6 months behind***
Airport Advanced Utilities Construction Contract	38%	88.2%	7 months behind
Farrington Highway Station Group Construction Contract	0%	0%	On time
West Oahu Station Group Construction Contract	0%	0%	On time

*As of February 2016

** HART's assessment of Earned Value is based on a weighted expenditure calculation of the contract and is not a true Earned Value calculation.

***Contract schedule is to be re-baselined to incorporate new milestone dates due to re-procurement of Westside Stations Group and use of DB for the eastside guideway and stations.

1.3 Core Accountability Items

The Estimate at Completion (EAC) and percentage complete shown below are based on data provided by HART. This data is under review and has not been fully validated by the PMOC.

Project Status: FFGA		Original at FFGA	HART Current Estimate
Cost	Cost Estimate	\$5,122,000,000	\$6,827,000,000 ³
Contingency	Unallocated Contingency	\$101,900,000	\$180,000,000
	Total Contingency (Allocated plus Unallocated)	\$643,600,000	\$396,518,957
Schedule	Revenue Service Date (RSD)	1/31/2020	12/2022 ³
HART Total Project Percent Complete	Based on Expenditures ¹	44.9% (as of February 26, 2016)	
	Based on Earned Value ²	44.9%	

¹HART's reported percent complete does not reflect HART's current EAC. Actual percent complete using expended against current HART EAC is 28.5%.

²HART's assessment of Earned Value is based on the weighted expenditure calculation of the individual construction and design contracts and is not a true Earned Value calculation

³HART's current EAC and RSD are under review as part of the recent Risk Refresh and have not been validated.

Major Issues	Status	Comments/Planned Action
Cost Increase & Schedule Delays	HART has experienced delays and has incurred costs as a result of the state and federal lawsuits as well as protested procurements.	See PMOC Monthly Report Section 1.4 for status. The PMOC completed a Risk Refresh in March 2016. Results of the Risk Refresh are pending.
Post-Rod Changes	HART is considering several proposed design changes that may require additional environmental review.	FTA and HART hold bi-weekly meetings to discuss the status of any potential changes. HART has submitted or will submit information on each proposed change for FTA to determine the level of documentation required to assess impacts and subsequent mitigation measures.
Hawaii Electric Company (HECO)	50-foot clearance requirement for facility maintenance including pole replacement	See PMOC Monthly Report Section 1.4 for status.
Next Quarterly Meeting:	May 11, 2016	

1.4 PMOC Issues or Concerns

- HART has presented information that forecasts an increase to project costs and an extension of the overall completion date for the project:
 - GET Extension – HART staff proposed the use of a 4% growth rate in the updated Financial Plan, which would add \$1.524 billion through the 5-year extension of the GET. The PMOC has concern that this increase in revenue is not sufficient to complete the Project.
 - Master Project Schedule – HART provided an updated MPS that indicates an RSD in May 2023. However, HART’s MPS was reviewed, and the RSD was evaluated as part of the Risk Refresh Workshop. Results of the Risk Refresh are pending.
 - Project Cost Update – HART’s current EAC is \$6.8 billion. However, HART’s EAC was reviewed and the contingency was evaluated as part of the Risk Refresh Workshop. The PMOC has noted that there were significant adjustments incorporated into the EAC for the Risk Refresh Workshop.

- Cost Containment/Cost Reduction Measures – The PMOC has discussed the project cost on a monthly basis with HART in an effort to ensure that cost issues are proactively addressed. HART has implemented Cost Containment and Cost Reduction measures in an effort to mitigate the cost increase. These efforts include:
 - Review of contract general terms and conditions to eliminate unique conditions and ambiguities that result in added costs
 - Significant revision of the project’s contract packaging strategy to simplify interface and create cost reduction opportunities through contractor proposed innovations
 - Development of a detailed cost reduction matrix that focuses on the remaining construction contracts (Value Engineering)
 - Review of interface milestones to help relieve schedule compression where possible
 - Review of various options related to financing of the project
 - Identification of items that could be considered Operations & Maintenance costs
 - Development of a HART Decision Milestone Matrix.

The PMOC will continue to hold Cost Containment Workshops with HART on a monthly basis to review the Cost Reduction Measures Matrix. Nevertheless, it is still anticipated that the Project cost will exceed the FFGA budget. Therefore, HART should initiate plans that identify Secondary Mitigation Measures and begin implementation.

- Hawaiian Electric Companies (HECO)
 - HECO has a collective bargaining agreement that has different wage scales and allows payment to its labor forces biweekly, which does not satisfy Davis-Bacon. HECO has requested a waiver that has so far been denied by the Department of Labor (DOL), although HECO has appealed. Meanwhile, HECO is still paying a wage scale that is less than the prevailing wages required by the DOL. HART may have to pay for HECO prevailing wages if the appeal is denied by DOL. The potential HECO prevailing wage costs are not reflected in HART’s revised EAC.

If the appeal is denied, it is unclear whether HART would be required to pay HECO's prevailing wage costs retroactively to cover any field work that has been completed by HECO for the project. HART and HECO met with DOL officials in September 2015. DOL submitted several questions, which will be responded to by HECO. The USDOL ruling for compliance is. HECO will start paying wages on a weekly basis instead of bi-weekly. However, HECO wage rates will not change unless a ruling is made by the USDOL. HECO will continue to pay wages on a weekly basis even if the ruling is favorable or unfavorable.

- 50-foot Clearance Issue – HART has made the decision to underground HECO lines along a section of the Airport Segment (9 poles) and on a significant portion of Dillingham Boulevard within the City Center Segment (1.8 miles). However, HART has not resolved several issues including design responsibility, sequencing, milestone dates, etc. The MPS also does not contain sufficient detail to assess the impact of undergrounding the lines.

HART issued RFP Part II for the City Center Guideway and Stations DB Contract in February 2016. However, there are still a number of issues that must be resolved and addressed through addenda to the RFP. The PMOC recommended that HART consider including a HECO representative as a non-voting member of selection panel for remaining DB contracts.

If HART is required to underground all affected lines (including WOFH and KHG), it would have a significant impact on the project costs. HART has indicated that they have incorporated some exposure into their EAC and MPS for this, but not enough to cover the full cost of HECO's preferred solution. This is currently HART's most significant risk to the project.

- HECO has identified equipment that may mitigate the 46KV distribution line relocations. The equipment manufacturer is Altec, and HECO have begun testing the equipment. HART may purchase the equipment if it is found to be acceptable by HECO.
- Buy America –
 - There are 15 Train Control & Communication Rooms (TCCR) along the guideway corridor. Each TCCR will “house” only Train Control and Communications equipment for the Core Systems Contract. HART wanted to ensure that Train Control and Communications equipment will comply with federal Buy America regulations in 49 CFR 661. HART recently determined that the Variable Refrigerant Flow (VRF) air conditioning equipment in the TCCR will not be sourced from the USA. These equipment items are not included in the 49 CFR 661.11 (t) & (u) list. HART sought clarification from FTA that Buy America regulation would allow them to be foreign and still comply with the minimum 60% domestic content requirement of the “rolling stock end product” as described at Appendix A to 49 CFR 661.3. FTA determined and informed HART that FTA does not find that the VRF is either train control or communications

equipment and, as such, is not rolling stock for purposes of 49 CFR 661.11. HART submitted a Waiver Request for the Train Control and Communications Equipment Variable Refrigerant Flow on February 8, 2016 and is awaiting a response from the FTA.

- AHJV has changed the truck body supplier for the LRVs. AHJV is verifying the calculation for Buy America percentage for the vehicles and will submit that information to HART for review.

2.0 BODY OF REPORT

2.1.1 Project Status

- Overall project is approximately 44.9% complete as of February 2016. The planned completion at this time is approximately 44.9% (based on S-curve late plan).
- Overall design of the project is approximately 88.4% complete as of February 2016. The planned completion at this time is approximately 99.3% (based on S-curve late plan). However, this percent complete may change as a result of utilizing DB procurement strategy for the Airport and City Center guideway and stations sections.
- Overall construction of the project is approximately 36.6% complete as of February 2016. The planned completion at this time is approximately 36.2% (based on S-curve late plan).
- Section 2.1.3 and Appendix B of this report provide the status of the current design and construction contracts. Section 2.1.3 and Appendix B of this report provide the status of the current design and construction contracts.

2.1.2 Status of Procurement

- Airport Guideway and Stations DB Contract – RFP Part II responses (price and technical) were received April 5, 2016 and are under review. Award is anticipated to occur in May 2016. However, a Best and Final Offer (BAFO) may be considered, which would push out the award date.
- City Center Guideway and Stations DB Contract – RFP Part II was issued February 2, 2016. Award is now tentatively scheduled for early 2017.
- HART intended to utilize WOFH/KHG precast site for City Center DB Contract. However, negotiations to continue having access to site are not progressing quickly enough. Therefore, HART has identified an alternative location. If this alternative location is utilized, it would require Post-ROD approval from FTA. The PMOC emphasized that it is critical for HART to resolve this issue to support the procurement schedule and to not further delay the RFP process.
- Pearl Highlands Parking Structure DB Contract – HART is reviewing various options including partial buildout to support RSD and full buildout under a Public Private Partnership (PPP). HART staff will begin updating a DB package that could be advertised in summer 2016. HART has issued an RFI for a PPP.
- Fare Collection System – Bids were received on November 13, 2015 and are being evaluated.
- HART indicated that several other contracts were in process to be procured:
 - On-Call Construction III - Bids were received in March 2016.
 - ROW Support II – Proposals were received in April 2016.
 - Complex Real Property Negotiations and Litigation Support Contract – Proposals were received in April 2016.
 - Outside Council for Land Court Petition Services – Proposals were received in April 2016.
 - On-Call Appraiser Contract – TBD

- UH West Oahu Temporary Park and Ride and UH West Oahu Campus Road “B”- Advertise Second Quarter of 2016.
- Core Systems Back-up Generators – TBD
- Photovoltaic for Rail Operations Center (ROC) – TBD

2.1.3 Status of Primary Construction Contracts

- West Oahu/Farrington Highway (WOFH) Design-Build (DB) Contract –
 - Contract is approximately 82% complete based on earned value (design and construction activities). The planned earned value at this time is approximately 91%.
 - The contract is approximately six months behind schedule. The delay is the result of lower-than-planned production rates and lack of site access. HART and KIWC have been working to mitigate impacts to critical path activities. A revised baseline schedule was submitted by the contractor in August 2015 and was rejected by HART. HART is considering withholding payment until an acceptable schedule is submitted.
 - Seven miles of guideway have been erected as of March 23, 2016. All shafts are complete and less than 30 spans remain to be erected. Guideway construction will be complete in summer 2016.
 - Overall quality of the contract is good, but there are some issues as discussed in Section 2.6 of this report.
- Kamehameha Highway Guideway (KHG) DB Contract –
 - The contract is approximately 53.6% complete based on earned value (design and construction activities). The planned earned value at this time is approximately 95%.
 - The contract is approximately 12 months behind schedule. HART has indicated that the delay is the result of lower-than-planned production rates, weather, and failure by the contractor to provide required labor and material to perform required column and foundation work. A revised schedule was submitted in September 2015 and rejected by HART. HART is considering withholding payment until an acceptable schedule is submitted.
 - Guideway is currently forecasted to be complete in April 2017. However, the completion of the overall contract has been pushed out to September 2017.
- Maintenance and Storage (MSF) DB Contract –
 - The contract is approximately 92.4% complete based on earned value (design and construction activities). The planned earned value at this time is approximately 92%.
 - Building structures are essentially complete with interior buildout continuing.
 - HART has indicated that contract substantial completion is essentially on time and is scheduled for May 2016. However, there appears to be a number of items (construction, testing, and certification) that must be completed before occupancy can occur. The PMOC will closely monitor this contract to assess whether the target date for occupancy is realistic.
 - Overall quality of the contract is good.
- Airport Advanced Utilities Construction Contract –

- Contract is approximately 38% complete based on Earned Value. The planned earned value at this time is approximately 88.2%.
- The contract is approximately seven months behind schedule. The delay was due to issues with Navy right of entry (ROE) for construction. HART's planned earned value has been adjusted as a result of the delayed access.
- Farrington Highway Station Group Construction Contract –
 - The contract is approximately 0% complete based on HART's earned value calculation. HART's planned earned value at this time is approximately 0%.
 - The baseline schedule has not yet been approved.
 - Construction is anticipated to begin in April 2016.
- West Oahu Station Group Construction Contract –
 - The contract is approximately 0% complete based on HART's earned value calculation. HART's planned earned value at this time is approximately 0%.
 - The baseline schedule has not yet been approved.
 - Construction is anticipated to begin during the First Quarter of 2016.

2.1.4 Status of Core Systems Contract

Core System Description	Status
General	
Overall	Contract is approximately 29.5% complete based on expenditures (design, manufacturing, and construction activities). The planned completion at this time is approximately 43.3% (based on late plan S-curve).
Design	<p>Following are the approximate levels of design completion</p> <ul style="list-style-type: none"> • LRVs – 100% (Interim Design), 89.8% (Final Design) • Train Control – 100% (Interim Design), 99% (Final Design) • Traction Power – 100% (Interim Design), 100% (Final Design) • Communications – 100% (Interim Design), 45% (Final Design) • Fire Detection – 100% (Interim Design), 58.3% (Final Design) • PSG, UPS, MPV – N/A (Interim Design), 62.7% (Final Design) <p>(Note: HART reports earned value only for the overall contract, not for design of the individual components.)</p>
Testing	Initial testing of the PSG mockup has been postponed until summer 2016 due to mechanical issues. The PSG are not on the critical path.
Construction	Construction activity will not begin along guideway until 2Q16.
Schedule	AHJV revised baseline contract schedule was approved. However, re-procurement of Westside Stations Group and use of DB for the eastside guideway and stations will result in another revision to the CSC schedule. Revisions to the station and guideway Construction Access Milestone (CAM) dates will affect substantial completion of the AHJV work. HART anticipates that AHJV will submit a change request for extended overhead and escalation due to delay as a result. HART wants to ensure that the milestone dates it provides AHJV for its schedule update will not change.
Staffing	AHJV CSC Safety Certification Manager left the project. AHJV has named an interim manager, but the individual will not be on site full time.
System Performance Design	There are some concerns with AHJV's method for calculating headways and round-trip times. Alignment changes from Airport and City Center Fixed Facility Contractors may affect system performance. A full analysis was completed by AHJV and approved by HART in September 2015. The System Performance Analysis was reviewed by the PMOC and comments were provided to HART.
Vehicle Subsystem	
Vehicle Schedule	Shipment of the first LRV to Honolulu was delivered in March 2016. Delivery of the second LRV is planned for summer 2016.
Buy America	AHJV has changed the truck body supplier for the LRVs. AHJV is verifying the calculation for Buy America percentage for the vehicles and will submit that information to HART for review.
Ship America	AHJV is negotiating terms with a carrier to transport the LRVs from Italy to US mainland and from US mainland to Hawaii. Once that agreement is finalized, HART will provide confirmation to FTA. AHJV received approval from the US Maritime Agency to ship the first 4 vehicles from a non-US carrier.
Traction Electrification Subsystem	

Core System Description	Status
Middle Street AM Antenna	HART has identified an alternate location to relocate the AM Antenna. ROW is working to execute the agreement and complete the relocation.
O&M Subsystem	
MSF O&M	AHJV has provided preliminary MSF Operations Plans, but will resubmit to better follow HART's O&M Plan and FTA guidelines. CSC construction work is anticipated to be completed in late 2016. Fire detection will be completed in May 2016, which is required for occupancy.
Hawaiian Electric Companies (HECO)	
Activation Dates	HART and HECO are coordinating project schedule and HECO activation start dates for power.
Service Requests	AHJV has submitted HECO service requests for all system sites.

2.1.5 Real Estate Acquisition

- HART has completed all appraisals of required properties identified to date.
- Blood Bank – Parcel was recently approved for condemnation by the City Council. However, the City Council may acquire the parcel using other funds then sell a portion to HART. The PMOC cautioned the group that any acquisition intended for the project needed to be compliant with URA requirements.
- Land Court – Given the issue with slowing closings, HART is hiring a special attorney to expedite matters through the land court.
- HECO – HART will have approximately 100 new private easements that will be acquired for HECO undergrounding. Another 146 small parcels will be located in public streets and HDOT property. These parcels will be eventually turned over to HECO. The PMOC suggested this turnover could be viewed by FTA as a disposition, so the PMOC have asked that FTA be provided the opportunity to review the settlement document and approve the process.

2.1.6 Third Party Agreements and Coordination

The following agreements are critical and will impact the project if not completed in the near term:

- Aloha Stadium Construction Right of Entry (CROE) – DRAFT Agreement has been routed for review. Department of Accounting and General Services (DAGS), Stadium Authority and HART met to discuss the agreement, which must be in place by mid-2016 to not impact the schedule.
- University of Hawaii Right of Entry – Construction Rights of Entry are pending.
- GSA – An MOU is pending with the GSA for all work adjacent to the Federal courthouse. This will include protective measures (e.g. barriers) along the station and guideway. The MOU is not on the critical path, but if this issue is not resolved by May 2016, it may become critical. Details of the MOU have been substantially agreed to, but HART is awaiting a landscape plan that is being prepared by the GSA. The cost of this plan must be analyzed to determine whether it falls within HART's budget.
- United States Postal Service (USPS) – The valuation dispute is being resolved with binding arbitration using a mutually agreed third appraiser. The PMOC reminded HART that the final amount will need to be justified via an Administrative Settlement to FTA. This appears to be a best course since HART does not have condemnation powers to sue the USPS.
- US Navy – The Current Base Commander would like to finalize the license agreement prior to change of Base Command staff, which will occur spring 2016.
- The outstanding third party agreements may affect construction contracts if not resolved in the next 4-6 months.

2.1.7 Environmental mitigation measures

- Proposed Design Changes/Refinement – HART is considering several proposed design refinements that may require additional environmental review. FTA and HART hold bi-weekly meetings to discuss the status of any potential changes. HART has submitted or will

submit information on each proposed change for FTA to determine the level of documentation required to assess impacts and subsequent mitigation measures:

- AGS Precast Yard
- CCGS Precast Yard
- CC Alignment Shift (Ala Moana Station)
- Backup Generators

2.2 Project Management Plan (PMP) and Sub-Plans

HART is in the process of updating several of its procedures and management plans. These updates are necessary due to HART organizational changes and the contract packaging changes that are being implemented. It is critical for HART to update these plans and procedures soon. Following is the status of the key management plan updates:

Plan	Status	Note
Project Management Plan (PMP)	Approved for FFGA but plan is being updated.	Update pending outcome of Risk Refresh
Contract Packaging Plan (CPP)	Approved for FFGA but update is pending	CPP must still be updated to reflect MPS and EAC changes.
Construction Management Plan	No further comments	Baseline update pending
Construction Safety Plan	No further comments	Completed.
Change Procedure	No further comments	HART to baseline 5.CA-11 change procedure revision
Quality Management Plan	No further comments	Completed
Risk and Contingency Management Plan (RCMP)	Approved for FFGA but update is pending	Update is pending outcome of Risk Refresh
Financial Plan	Approved for FFGA. Draft Financial Plan submitted to FTA/PMOC for review.	Update is pending outcome of Risk Refresh
Real Estate Acquisition and Management Plan (RAMP)	Approved for FFGA	Updated, Rev. 6, 3/14/2016
Operations and Maintenance Plan (OMP)	HART provided responses to PMOC comments	Baseline update pending
Rail Fleet Management Plan (RFMP)	HART provided responses to PMOC comments	Baseline update pending
Safety and Security Management Plan (SSMP)	HART provided responses to PMOC comments 4/4/16	Baseline update pending
Safety and Security Certification Plan (SSCP)	PMOC provided review comments	Baseline update pending

2.3 Management Capacity and Capability (MCC)

HART has gone through a considerable number of organizational changes since the FFGA was executed in December 2012. The PMOC has recommended that HART review its staffing and consider changes to streamline the organization. HART has begun reviewing the project organization to determine if changes can be made to be more effective. Project staff has also begun updating the project's numerous plans and procedures to reflect these changes. HART's

update of the management plans must incorporate any organizational changes that are proposed or already accomplished. It has become critical that any changes be documented in the various management plans immediately.

There are currently several key positions that remain vacant. The most critical positions that HART is diligently working to permanently fill include:

- Architecture and Arts Manager – Open position.
- WOFH/KHG Project Manager (Existing Position) – Candidate identified.
- Chief Financial Officer – Candidate identified.
- Public Involvement Manager – Candidate identified.
- Risk Manager – HART has identified an internal candidate. However, the PMOC expressed concern that the candidate does not possess the experience necessary to be effective in this role. HART intends to utilize senior staff from its PMSC to support the proposed Risk Manager.

2.4 Project Cost

The grantee’s Base Cost Estimate (BCE) dated March 19, 2012 is \$5.122 billion in Year-of-Expenditure (YOE) dollars, including \$644 million in allocated and unallocated contingency (or 15.0% of the BCE) and \$173 million in financing costs. Of the \$644 million in total contingency, \$101 million is unallocated. The current Project Budget is as follows:

Source	Amount
Base Cost Estimate	\$4,305 billion
Total Contingency	\$0.644 billion
Finance Charges	\$0.173 billion
Total Project Cost	\$5.122 billion

Total Expenditures to Date – \$1.956 billion (through February 2016)

The following table presents the FFGA budget and expenditures to date. The Estimate at Completion (EAC) for each SCC will be provided once HART re-baselines its budget.

SCC	SCC Description	FFGA Budget	Base Cost	Allocated Cont.	Incurred
10	GUIDEWAY & TRACK ELEMENTS	1,275,328,962	1,114,305,144	161,023,818	277,113,495
10.02	Guideway: At-grade semi-exclusive	0	0	0	17,378
10.04	Guideway: Aerial structure	1,175,328,184	1,022,380,670	152,947,514	225,597,278
10.08	Guideway: Retained cut or fill	8,077,393	7,492,943	584,450	0
10.09	Track: Direct fixation	86,332,027	79,437,204	6,894,823	51,495,763
10.11	Track: Ballasted	3,550,634	3,293,724	256,910	0
10.12	Track: Special (switches, turnouts)	2,040,724	1,700,603	340,121	3,076
20	STATIONS, STOPS, TERMINALS, INTERMODA	506,165,689	421,804,742	84,360,947	5,066,456
20.01	At-grade station, stop, shelter, mall, terminal, platform	7,333,599	6,111,333	1,222,266	0
20.02	Aerial station, stop, shelter, mall, terminal, platform	353,476,148	294,563,457	58,912,691	0
20.06	Automobile parking multi-story structure	79,690,518	66,408,765	13,281,753	0
20.07	Elevators, escalators	65,665,424	54,721,187	10,944,237	5,066,456
30	SUPPORT FACILITIES: YARDS, SHOPS, ADMIN. BLDGS	99,425,456	92,535,013	6,890,443	90,169,783
30.01	Administration Building: Office, Sales	0	0	0	191,435
30.02	Light Maintenance Facility	8,161,279	7,591,887	569,392	4,559,985
30.03	Heavy Maintenance Facility	40,906,889	38,099,138	2,807,751	29,157,826
30.04	Storage or Maintenance of Way Building	8,382,270	7,797,460	584,810	6,803,772
30.05	Yard and Yard Track	41,975,018	39,046,528	2,928,490	49,456,765
40	SITework & SPECIAL CONDITIONS	1,103,867,264	980,569,426	123,297,838	525,282,842
40.01	Demolition, Clearing, Earthwork	34,695,802	29,980,157	4,715,645	2,539,412
40.02	Site Utilities, Utility Relocation	350,694,801	299,449,755	51,245,046	112,539,707
40.03	Haz. mat'l, contam'd soil removal/mitigation, ground water treatments	7,228,935	6,590,542	638,393	2,706,597
40.04	Environmental mitigation, e.g. wetlands, historic/archeologic, parks	30,841,906	26,979,122	3,862,784	10,816,505
40.05	Site structures including retaining walls, sound walls	8,637,582	7,998,960	638,622	4,618,004
40.06	Pedestrian / bike access and accommodation, landscaping	48,262,816	41,073,897	7,188,919	50,000
40.07	Automobile, bus, van accessways including roads, parking lots	212,536,181	181,979,369	30,556,812	5,472,264
40.08	Temporary Facilities and other indirect costs during construction	410,969,241	386,517,624	24,451,617	386,540,353
50	SYSTEMS	247,460,781	221,284,483	26,176,298	37,082,160
50.01	Train control and signals	91,492,532	81,982,556	9,509,976	2,250,972
50.02	Traffic signals and crossing protection	12,524,011	10,458,227	2,065,784	0
50.03	Traction power supply: substations	32,873,934	29,500,927	3,373,007	4,751,819
50.04	Traction power distribution: catenary and third rail	36,426,286	32,878,150	3,548,136	16,942,495
50.05	Communications	59,889,234	53,691,339	6,197,895	14,268,775
50.06	Fare collection system and equipment	10,221,753	9,159,277	1,062,476	(1,131,901)
50.07	Central Control	4,033,031	3,614,007	419,024	0
	Construction Subtotal (10 - 50)	3,232,248,152	2,830,498,808	401,749,344	934,714,736
50.07	Central Control	4,033,031	3,614,007	419,024	0
60	ROW, LAND, EXISTING IMPROVEMENTS	222,188,386	197,397,947	24,790,439	100,303,144
60.01	Purchase or lease of real estate	201,658,907	179,360,664	22,298,243	88,850,279
60.02	Relocation of existing households and businesses	20,529,479	18,037,283	2,492,196	11,452,865
70	VEHICLES	208,501,186	186,829,020	21,672,166	34,051,880
70.02	Heavy Rail	186,061,066	166,721,385	19,339,681	26,259,419
70.06	Non-revenue vehicles	16,011,166	14,346,923	1,664,243	7,792,461
70.07	Spare parts	6,428,954	5,760,712	668,242	0
80	PROFESSIONAL SERVICES	1,183,826,026	1,090,438,814	93,387,212	774,986,111
80.01	Preliminary Engineering	95,120,484	94,055,262	1,065,222	103,435,648
80.02	Final Design	257,934,908	228,321,632	29,613,276	163,164,307
80.03	Project Management for Design and Construction	385,825,694	366,458,463	19,367,231	313,488,523
80.04	Construction Administration & Management	218,155,752	199,656,728	18,499,024	82,963,677
80.05	Professional Liability and other Non-Construction Insurance	52,138,030	46,549,724	5,588,306	28,408,468
80.06	Legal; Permits; Review Fees by other agencies, cities, etc.	76,135,125	67,641,006	8,494,119	21,141,132
80.07	Surveys, Testing, Investigation, Inspection	24,955,327	21,759,335	3,195,992	29,585,563
80.08	Start up	73,560,706	65,996,664	7,564,042	32,798,793
90	Subtotal (10 - 80)	4,846,763,750	4,305,164,589	541,599,161	1,844,055,871
	UNALLOCATED CONTINGENCY	101,871,170	0	101,871,170	0
100	Subtotal (10 - 90)	4,948,634,920	4,305,164,589	643,470,331	1,844,055,871
	FINANCE CHARGES	173,058,242			0
	Total Project Cost (10 - 100)	5,121,693,162	4,305,164,589	643,470,331	1,844,055,871
	Provisional Request for Payment				39,255,396
	Total Project Cost (10 - 100)	5,121,693,162	4,305,164,589	643,470,331	1,883,311,267

Note: HART did not provide incurred costs by SCC in their most recent monthly report. A note was included that stated "the Project Cost reports by SCC are being updated to be consistent with current program expectations and have been removed until the revised Project budget is approved by the HART Board of Directors and FTA."

HART’s current Estimate at Completion (EAC) indicates that the Project cost will exceed the FFGA budget primarily due to the AIS, federal lawsuit delays, subsequent impact of current market conditions, and several other items that are anticipated to be an additional cost to the project. HART is continuing to review the project budget and will update the EAC accordingly.

2.4.1 Contingency

HART forecasts indicated an increase to project costs and extension of the overall completion date for the project:

- Updated Estimate at Completion (EAC) – \$6.8 billion
- Updated EAC includes \$396.5 million in Contingency.
- Updated finance costs include \$393 million.

HART’s EAC was reviewed and the contingency was evaluated as part of the Risk Refresh Workshop. The PMOC has noted that there were significant adjustments incorporated into the EAC for the Risk Refresh Workshop. Once the EAC is finalized, HART must also then update the contingency drawdown curve for inclusion in the Risk and Contingency Management Plan and Monthly Reports.

2.4.2 Funding Sources

The following are the project capital revenue (funding) sources:

Source	Amount
General Excise Tax (GET)	\$3.358 billion
Section 5309	\$1.550 billion
Section 5307	\$0.210 billion
American Recovery and Reinvestment Act (ARRA)	\$0.004 billion
Total	\$5.122 billion

Following is the status of HART’s GET receipts:

- HART has received approximately \$1.637 billion in GET funds since 2007.
- HART has received approximately \$1.259 billion in GET funds since Preliminary Engineering, which began in October 2009.

The Hawaii State Legislature passed House Bill 134, which would extend the City and County of Honolulu's current 0.5% surcharge on the state's General Excise Tax (GET) for the rail transit project for an additional five years through 2027. The Governor signed the bill on July 14, 2015. On January 27, 2016, the City Council approved Bill 23 to extend collection of the GET to December 2027.

Initial estimates indicated that the GET extension could generate approximately \$1.8 billion in revenue at a 5 percent growth rate. However, more current (and conservative) forecasts indicate that the additional revenue will be closer to \$1.5 billion. The PMOC has concern that this increase in revenue may not be sufficient to complete the Project.

2.5 Project Schedule

HART provided an updated MPS that indicates an RSD in May 2023. However, HART’s MPS was reviewed, and the RSD was evaluated as part of the Risk Refresh Workshop. Results of the Risk Refresh are pending.

The following is a look ahead for important activities associated with the Project:

Period: April 2016 – July 2016		
Activity	Responsibility	Date
Monthly Progress Meeting (Conference Call)	FTA, HART and PMOC	April 13, 2016
MSF Safety Certification & Operational Readiness (teleconference)	HART and PMOC	April 13, 2016
Quarterly Meeting	FTA, HART and PMOC	May 11, 2016
Safety and Security Huddle	FTA, HART, HDOT and PMOC	May 12, 106
Monthly Progress Meeting	FTA, HART and PMOC	June 8, 2016
Monthly Progress Meeting	FTA, HART and PMOC	July 13, 2016

2.6 Quality Assurance/Quality Control (QA/QC)

- Plinthless Track – KIWC had proposed fabrication of precast guideway segments to eliminate the need for plinths. However, KIWC had issues with geometry control in casting and erection that have resulted in deviations in vertical and horizontal alignment that exceeds tolerances in several locations. Indications are that HART will accept shimming of the track and will request a credit from KIWC.
- Following is a summary of Non-Conformance Reports (NCR) that have been issued:

Contract	Description	NCRs		
		Issued	Closed	Open
DB-120	West Oahu/Farrington Highway	571	487	34
DB-200	Maintenance and Storage Facility	32	32	0
DB-320	Kamehameha Highway Guideway	134	110	24
DBOM-920	Core Systems Contract	391	362	29
DBB-505	Airport Section Utilities	18	14	4

2.7 Safety and Security

- HART is updating the Roadmap to include milestone dates for all safety certification activities that are related to the MSF facilities, MSF CSC rail activation, WOFH Guideway and the sequence related to interim safety certification. The updated Roadmap was to be provided to the PMOC in April 2016. The safety certification activities requiring milestone dates includes: design/construction conformance review certifications; System Integration Testing; issuance of manuals, operating procedures, training, and other start up elements. A similar breakdown of milestone dates must to be done for the WOFH Guideway and the other contracts that identifies when these Fixed Facilities will be completed and the CSC will be performing functional tests.
- HART provided a baseline Operational Readiness checklist and a hold point plan for rail activation needed for the MSF and WOFH. HART was to provide the PMOC with an

updated Operational Readiness Checklist that includes completion dates in April 2016. Although HART will not be preparing for revenue operations for a few years, HART will have an active MSF rail yard and will be performing rail vehicle functional tests/preliminary rail operations in preparation for revenue operations. The items listed in the bullet above are required for safety certification to allow preliminary rail operations.

- HART received delivery of the first passenger rail vehicle in March 2016. Interim certification must be issued by HART Safety and Security to list any open items and restrictions since the MSF is not fully operational yet.
- HART provided the PMOC with the DRAFT MOU with the GSA for all work adjacent to the Federal courthouse. This will include any protective measures (e.g. barriers) along the station and guideway. The MOU does not indicate whether GSA will have the right to review the final design since this work will now be completed under a DB contract.
- AHJV CSC Safety Certification Manager is leaving the project. AHJV has named interim manager, but the replacement will not be on site full time.

2.8 Project Risk

The PMOC has discussed the project cost on a monthly basis with HART in an effort to ensure cost issues are proactively addressed. HART has recently implemented Cost Containment and Cost Reduction measures in an effort to mitigate the cost increase. These efforts include:

- Significant revision of HART's contract packaging strategy
- Development of a detailed cost reduction matrix that focuses on the remaining construction contracts (Value Engineering)
- Review of interface milestones to help relieve schedule compression where possible
- Review of various options related to financing of the project
- Identification of items that could be considered Operations & Maintenance costs
- Development of a HART Decision Milestone Matrix.

HART continues to update the Cost Reduction Measures matrix and Decision Milestone Matrix on a regular basis (at least monthly). The PMOC will continue to hold Cost Containment Workshops with HART on a monthly basis to review the Cost Reduction Measures Matrix and HART's Decision Milestone Matrix. Nevertheless, it is still anticipated that the Project cost will exceed the FFGA budget.

A Risk Refresh Workshop was held on March 30, 2016. The PMOC reviewed any Project changes that may affect and impact the management capacity and capability of the grantee. The review also focused on the Project's FFGA scope, schedule, cost estimate, and risk and contingency management. A DRAFT Risk Refresh Report will be submitted to FTA for review by the end of April 2016.

2.9 Action Item Table

Item No.	Item	Responsible Party	Date Identified	Date Due	Date Completed	Status
1	Resolve HECO issue with Davis-Bacon requirements	HART/FTA	Mar-14	On-going		Open – HECO waiver is pending. HART provided MOU to PMOC.
2	Updates resulting from Risk Refresh:					
2a	Update the Contract Packaging Plan	HART	Aug-14	TBD		In progress – Draft provided to PMOC but changes may be incorporated on updated estimate and schedule
2b	Re-baseline Financial Plan	HART	Apr-14	TBD		Pending final update of budget and schedule
2c	Re-baseline budget	HART	Apr-14	TBD		In progress
2d	Re-baseline MPS	HART	Apr-14	TBD		In progress – HART has provided MPS update for review
2f	Update RCMP (including Hold Points and Secondary Mitigation Measures)	HART	Sept-13	TBD		In progress – PMOC provided review comments on early draft, but updated draft will be prepared to reflect revised budget and schedule.
3	HART to provide target date for Federal Courthouse resolution/sign-off	HART	Sep-15	Feb-16	Feb-16	
4	HART to monthly provide a matrix of ROW parcels	HART	Nov-15	Dec-15	Dec-15	
5	HART to provide Operational Readiness Checklist/Hold Points for MSF Certification	HART	Jan-16	Apr-16		DRAFT checklist provided but must be populated with dates/ hold points
6	Provide HECO Agreement to PMOC	HART	Mar-16	Mar-16	Mar-16	
7	Provide updated Risk Register to PMOC	HART	Mar-16	Mar-16	Mar-16	
8	Provide response to SSMP and SSCP review comments	HART	Mar-16	Mar-16	Apr-16	
9	Schedule conference call to discuss responses to RFMP and O&M Plan review comments	PMOC	Mar-16	Mar-16	Mar-16	
10	Submit documentation to FTA for Post-ROD changes	HART	Mar-16	Apr-16		

3.0 APPENDICES

Appendix A: Acronym List

AHJV	▪ Ansaldo Honolulu Joint Venture
AIS	▪ Archeological Inventory Survey
APS	▪ Adjusted Project Schedule
ARRA	▪ American Recovery and Reinvestment Act
ASG	▪ Airport Station Group
ATC	▪ Alternative Technical Concept
AUG	▪ Airport Utilities Group
AVI	▪ Automatic Vehicle Indication
AVL	▪ Automatic Vehicle Location
BCE	▪ Base Cost Estimate
BFMP	▪ Bus Fleet Management Plan
CCUG	▪ City Center Utilities Group
CE&I	▪ Construction Engineering and Inspection
CMP	▪ Construction Management Plan
CPM	▪ Critical Path Method
CPP	▪ Contract Packaging Plan
CROE	▪ Construction Right of Entry
CSC	▪ Core Systems Contract
CSSO	▪ Chief Safety and Security Officer
DAGS	▪ Department of Accounting & General Services
DB	▪ Design-Build
DBB	▪ Design-Bid-Build
DBOM	▪ Design-Build-Operate-Maintain
DCCA	▪ Department of Commerce and Consumer Affairs
DHHL	▪ Department of Hawaiian Home Lands
DLIR	▪ Department of Labor and Industrial Relations
DLNR	▪ Department of Land and Natural Resources
DOE	▪ Department of Education
DOT	▪ Department of Transportation
EAC	▪ Estimate at Completion
EIS	▪ Environmental Impact Statement
FD	▪ Final Design
FEIS	▪ Final Environmental Impact Statement
FFGA	▪ Full Funding Grant Agreement
FHSG	▪ Farrington Highway Station Group
FHWA	▪ Federal Highway Administration
FTA	▪ Federal Transit Administration
FY	▪ Fiscal Year
GEC	▪ General Engineering Consultant
GET	▪ General Excise Tax
GSA	▪ General Services Administration
HART	▪ Honolulu Authority for Rapid Transportation
HCC	▪ Honolulu Community College
HCDA	▪ Hawaii Community Development Authority
HDOT	▪ Hawaii Department of Transportation
HECO	▪ Hawaiian Electric Company
IFB	▪ Invitation to Bid
ITP	▪ Inspection Test Plans
JU&O	▪ Joint Use & Occupancy
KHG	▪ Kamehameha Highway Guideway
KHSG	▪ Kamehameha Highway Stations Group

KIWC	▪ Kiewit Infrastructure West Company
KKJV	▪ Kiewit Kobayashi Joint Venture
LCC	▪ Leeward Community College
LEED	▪ Leadership in Energy and Environmental Design (LEED)
MMP	▪ Mitigation Monitoring Program
MOA	▪ Memorandum of Agreement
MOT	▪ Maintenance of Traffic
MOW	▪ Maintenance of Way
MPS	▪ Master Project Schedule
MSF	▪ Maintenance and Storage Facility
NCR	▪ Non-Compliance Report
NEPA	▪ National Environmental Policy Act
NTP	▪ Notice to Proceed
OCCC	▪ Oahu Community Correctional Center
OCIP	▪ Owner Controlled Insurance Program
OP	▪ Oversight Procedure
PA	▪ Programmatic Agreement
PE	▪ Preliminary Engineering
PM	▪ Project Manager
PMC	▪ Project Management Consultant
PMOC	▪ Project Management Oversight Contractor
PMP	▪ Project Management Plan
PSG	▪ Platform Screen Gate
PW	▪ Project-wide
QA	▪ Quality Assurance
QAM	▪ Quality Assurance Manager
QAP	▪ Quality Assurance Plan
QMP	▪ Quality Management Plan
RAMP	▪ Real Estate Acquisition and Management Plan
RCMP	▪ Risk and Contingency Management Plan
RFMP	▪ Rail Fleet Management Plan
RFB	▪ Request for Bids
RFP	▪ Request for Proposals
RFQ	▪ Request for Qualifications
ROD	▪ Record of Decision
ROE	▪ Right of Entry
ROW	▪ Right of Way
RSD	▪ Revenue Service Date
SCC	▪ Standard Cost Category
SHPD	▪ State Historic Preservation Division
SOA	▪ State Oversight Agency
SS	▪ Safety and Security
SSCM	▪ Safety and Security Certification Manager
SSCP	▪ Safety and Security Certification Plan
SSMP	▪ Safety and Security Management Plan
SSPP	▪ System Safety Program Plan
SSSPS	▪ System Safety and Security Program Standards
TCC	▪ Technical Capacity and Capability
UH	▪ University of Hawaii
UPS	▪ Uninterruptible Power Supply
VE	▪ Value Engineering
WOFH	▪ West Oahu/Farrington Highway
WOSG	▪ West Oahu Stations Group
WSSG	▪ Westside Stations Group
YOE	▪ Year of Expenditure

Appendix B: Contract Status

Contract	Description	Contractor	Base Contract Value	Change Orders	Change Order %	Current Contract Value	Expended	% Exp.	DBE	Award Date	Compl. Date
MM-901	Program Management Support Consultant (PMSC-2)	HDR/InfraConsult LLC	\$33,376,897	Amendment No. 4d. 3/18/14		\$40,993,274	\$39,563,917	95.5%	0%	Mar 2012	Mar 2016
Notes/Issues:											
MM-910	General Engineering Consultant (GEC II)	Parsons Brinkerhoff	\$300,000,000				\$132,000,000		0%	Jun 2011	Pending
Notes/Issues: Contract closeout is pending.											
MM-913	General Engineering Consultant (GEC III)	CH2M Hill	\$46,143,277	\$268,451		\$46,411,728	\$25,915,917	55.9%	4.27%	Dec 2013	Mar 2019
Notes/Issues: ■ None at this time											
MM-290	Construction Engineering and Inspection (West)	PGH Wong Engineering, Inc.	\$54,232,480			\$54,232,480	\$22,969,732	42.4%	4.59%	Jan 2014	Jan 2020
Notes/Issues: ■ None at this time.											
MM-595	Construction Engineering and Inspection (East)	AECOM/URS Corporation	\$63,083,417	(\$47,826,417)		\$15,257,000	\$12,382,150	91.1%	10.36%	Jan 2014	Dec 2018
Notes/Issues: ■ The new CE&I consultant for the East Section was issued NTP in September. The AECOM/URS team immediately began a transition that occurred through October 9, 2015. The AECOM/URS project closeout is ongoing.											
MM-596	Construction Engineering and Inspection II (East)	Stantec Consulting Services Inc.	\$55,036,130			\$55,036,130	1,210,034.00	2.4%	0%	Sep 2015	Dec 2019
Notes/Issues: ■ HART requested an Independent Cost Estimate (ICE) be performed on the Airport Guideway and Stations DB contract and the City Center Guideway and Stations DB contract. These ICE was completed in March 2016 and prior to the bids.											
MM-962	Core System Contract Oversight Consultant	Lea + Elliott, Inc.	\$43,988,989			\$43,988,989	\$14,071,868	32.0%	0.76%	Feb 2014	Aug 2019
Notes/Issues: ■ None at this time.											
MM-945	On-Call Construction Contractor	Royal Contracting Co. Ltd	\$1,000,000	\$999,951	99.99%	\$1,999,951	\$1,939,108	97.0%	23%	Aug 2014	Jul 2019
Notes/Issues: ■ None at this time.											
DB-120	West Oahu/Farrington Highway DB	KIWC	\$482,924,000	\$161,764,593	33.4%	\$644,688,593	\$528,233,522	81.9%	1.41%	Nov 2009	Jul 2016

Contract	Description	Contractor	Base Contract Value	Change Orders	Change Order %	Current Contract Value	Expended	% Exp.	DBE	Award Date	Compl. Date
	Notes/Issues:	<ul style="list-style-type: none"> Progress is lagging from the approved baseline schedule. KIWC has notified HART that a 6 month time extension beyond substantial completion is needed to complete work at LCC due to these impacts. HART is currently working with the contractor to mitigate delays and is evaluating the merit of KIWC's request for change to the substantial completion date. Contractor schedule has been rejected. HART is considering withholding payment until an acceptable schedule is submitted. 									
DB-200	Maintenance and Storage Facility DB	Kiewit/Kobayashi JV	\$195,258,000	\$79,427,819	37.6%	\$274,685,819	\$253,763,288	92.4%	0.28%	Jun 2011	Apr 2016
	Notes/Issues:	<ul style="list-style-type: none"> None at this time. 									
DB-320	Kamehameha Highway Guideway DB	KIWC	\$372,150,000	\$17,306,410	4.7%	\$388,967,876	\$208,884,997	53.6%	0.08%	Jun 2011	Sep 2016
	Notes/Issues:	<ul style="list-style-type: none"> Contract is approximately 12 months behind schedule. KIWC submitted revised schedule in September 2015 via RFC, re-sequencing the guideway and road work. The schedule is currently being reviewed by HART. The revised schedule shows the guideway structure forecasted to be complete in April 2017 with completion of the roadway civil construction and the overall project in September 2017. Contractor schedule has been rejected. HART is considering withholding payment until an acceptable schedule is submitted. 									
DBOM-920	Core Systems Contract	Ansaldo/Honolulu JV	\$573,782,793	\$26,060,894	4.5%	\$599,843,687	\$176,833,682	29.5%	0.029%	Nov 2011	Mar 2019
	Notes/Issues:	<ul style="list-style-type: none"> AHJV revised their baseline contract schedule, and it has been approved. However, re-procurement of Westside Stations Group and use of DB for the eastside guideway and stations will result in another revision to CSC schedule. Revisions to the station and guideway Construction Access Milestone (CAM) dates might affect substantial completion of the AHJV work. HART anticipates that AHJV will submit a change request for extended overhead and escalation due to delay as a result. HART wants to ensure that they provide AHJV with milestone dates for their schedule update that should not change. Hitachi, LTD completed its acquisition of Ansaldo Breda and Ansaldo STS on November 2, 2015. HART met with Hitachi representatives and was told that there would be no immediate changes in management or staffing of AHJV. 									
MI-930	Elevators and Escalators Install & Maintain	Schindler Elevator Corporation	\$50,982,714	\$0	0%	\$50,982,714	\$5,348,105	10.5%	0%	May 2013	Jul 2018
	Notes/Issues:	<ul style="list-style-type: none"> Contractor schedule may be delayed due to revised CAM (Construction Access Milestone) dates. 									
FD-140	West Oahu Station Group Construction FD	URS, Inc.	\$7,789,000	\$2,825,305	36.2%	\$10,014,305	\$9,093,096	91.7%	0%	Jun 2012	Dec 2016
	Notes/Issues:	<ul style="list-style-type: none"> None at this time. 									
FD-240	Farrington Highway Station Group FD	HDR, Inc. / URS	\$9,300,696	\$5,017,093	54%	\$14,198,045	\$12,546,293	89.4%	4.7%	Jan 2011	Dec 2016
	Notes/Issues:	<ul style="list-style-type: none"> Revised engineer's estimate exceeds the FFGA budget. 									

Contract	Description	Contractor	Base Contract Value	Change Orders	Change Order %	Current Contract Value	Expended	% Exp.	DBE	Award Date	Compl. Date
FD-340	Kamehameha Highway Station Group Construction FD	Anil Verma, Inc.	\$8,702,592	\$1,199,698	12.2%	\$9,859,290	\$9,177,277	93.1%	43.9%	Nov 2012	Dec 2016
Notes/Issues:		<ul style="list-style-type: none"> Revised engineer's estimate exceeds the FFGA budget. 									
FD-430	Airport Section Guideway and Utilities FD	AECOM	\$38,840,960	\$4,293,512	11.0%	\$43,134,472	\$41,471,470	96.1%	0.42%	Dec 2011	Jun 2017
Notes/Issues:		<ul style="list-style-type: none"> Airport Guideway was combined with Airport Stations into a DB Contract. Revised engineer's estimate exceeds the FFGA budget. 									
FD-440	Airport Station Group Construction FD	AECOM	\$10,177,365	\$1,416,487	14.88%	\$11,573,852	\$9,801,978	84.7%	2.31%	Nov 2012	Jul 2017
Notes/Issues:		<ul style="list-style-type: none"> Airport Guideway was combined with Airport Stations into a DB Contract. Revised engineer's estimate exceeds the FFGA budget. 									
FD-530	City Center Section Guideway and Utilities FD	AECOM	\$43,948,220	\$1,296,842	2.9%	\$46,197,562	\$41,899,799	90.7%	0.44%	Jul 2012	May 2018
Notes/Issues:		<ul style="list-style-type: none"> City Center Guideway was combined with Dillingham and Kaka'ako Stations into a DB Contract. Revised engineer's estimate exceeds the FFGA budget. The PMOC strongly recommended that HART not issue NTP for this contract unless the HECO clearance issues are resolved. 									
FD-550	Dillingham and Kaka'ako Station Group Construction FD	Perkins & Will	\$18,321,918	\$1,046,743	5.71%	\$19,308,042	\$11,875,668	66.0%	0%	Jun 2013	Jul 2018
Notes/Issues:		<ul style="list-style-type: none"> City Center Guideway was combined with Dillingham and Kaka'ako Stations into a DB Contract. Revised engineer's estimate exceeds the FFGA budget. 									
DBB-505	Airport Section Utilities Construction	Nan	\$27,993,290	\$29,663	0.1%	\$28,022,953	\$10,580,738	37.8%	0.12%	Jul 2014	Jun 2016
Notes/Issues:		<ul style="list-style-type: none"> The contract is approximately seven months behind schedule. The delay is due to issues with Navy right of entry (ROE) for construction. 									
DBB-525	Airport Section Guideway Seven Pier Construction	HDCC/CJA JV	\$3,973,000	\$54,843	1.3%	\$4,027,843	\$4,027,843	100%	0%	Sep 2014	Feb 2015
Notes/Issues:		<ul style="list-style-type: none"> Construction is complete. Closeout is ongoing. 									

Contract	Description	Contractor	Base Contract Value	Change Orders	Change Order %	Current Contract Value	Expended	% Exp.	DBE	Award Date	Compl. Date
DBB-271	Farrington Highway Station Group Construction	Hawaiian Dredging Construction Company, Inc.	\$78,999,000	\$0	0%	\$78,999,000	\$0	0%	0%	Aug 2015	Sep 2017
Notes/Issues		<ul style="list-style-type: none"> ▪ None at this time. 									
DBB-385	Ramp H2R2	Royal Contracting Co. Ltd	\$5,203,646	\$0	0%	\$5,203,646	\$136,850	2.6%	0%	May 2015	Jun 2016
Notes/Issues		<ul style="list-style-type: none"> ▪ Royal was delayed in the start of construction due to additional HDOT required soil remediation and submittal of required documentation. The contractor has projected a substantial completion of January 2017. 									
DBB-171	West Oahu Station Group Construction	Nan, Inc.	\$56,088,470	\$0	0%	\$56,088,470	\$0	0%	0%	Oct 2015	Mar 2019
Notes/Issues:		<ul style="list-style-type: none"> ▪ None at this time 									

Appendix C: PMOC Team

Name	Position	Background
Tim Mantych, PE	Program Manager	Overall responsibility for the Jacobs PMO program. He has spent 16 years in key management roles on the PMO program, including Program Manager and Task Order Manager, effectively managing oversight services for major capital projects in Regions V and IX.
Bill Tsiforas	Task Order Manager	Responsible for oversight of this task order. He has over 25 years of experience and has served as Task Order Manager and as a systems integration manager in the PMO Program since 2008. His project management experience covers a wide range of transit projects including BRT, monorail, streetcar, light rail, and heavy rail systems. In rail projects and other transportation modes, he has experience in management of project planning, engineering, and architecture service contract, engineering design, and construction management.
Keith Konradi, PE	Civil Engineer	Has extensive over 40 years of experience as a civil engineer and trackwork expert who can provide informed reviews of all issues regarding the design and construction of civil, railroad, and transit. He has specialized in railroad and transit projects, designing new alignments, realignments, profiles, yard, and maintenance facility layouts, connections, and interlockings.
Charles Neathery	Construction Management Manager	Responsible for oversight of construction management activities and project scheduling. He has served on the PMO Program since 1995 in various key positions: Deputy Program Manager, Task Order Manager, Construction Manager, Risk Manager, and Technical Specialist. His heavy civil construction background as a construction contractor Project Manager and as Program and Controls Director combines experience on both sides of the industry as a builder and a manager.
Tim Morris	Cost Estimating Manager	Responsible for oversight of cost estimating and cost control. He brings 34 years of experience in cost estimating. His background includes: construction and project management of heavy civil projects; cost estimating; field engineering; scheduling; project controls; change order negotiations; and procurement.
Brian Carpenter	Project Scheduling Manager	Responsible for oversight of project scheduling. Has over 25 years of experience project controls including schedule development and management. Well versed with following: Primavera Project Planner (P3), Primavera 7.0, Suretrak, M.S. Project, Schedule Analyzer, Claim Digger, Private Investigator, and PertMaster (Monte Carlo Risk Analyzer), as well as document management tools: Prolog, ProjectWise, and Contract Manager (Expedition).
Dorothy Schulz, PhD	Systems Safety Manager	Responsible for oversight of safety and security activities. She has been Director of Transit Security Practice at Interactive Elements for more than 20 years. She performs safety and security studies and oversights for various agencies. She also performs FTA State Oversight Agency (SOA) audits as well as Safety and Security Readiness Reviews (SSRRs). Dr. Schulz has written and/or reviewed numerous TVAs, PHAs, SSPPs, and SSPs; all require comprehensive understanding of the 882C Standard.
Arun Virginkar	Rail Equipment Engineer	Responsible for oversight of rail vehicle engineering and Buy America compliance. He has been involved with the PMOC Program since 1994. He has experience in contract management, vehicle and systems equipment engineering, operations and maintenance planning, system integration and testing, safety certification plans, and quality and system assurance. He also has specialized experience in Buy America Pre-Award and Post-Delivery audits of car builders.
Bob Merryman	Real Estate Manager	Responsible for oversight of real estate activities on the project. He has 35 years of practical experience in the implementation of the Uniform Act in federally funded projects. In addition, he has written three text books used by FHWA, as well as the one additional course text used by the FTA discussing the implementation of the Uniform Act. He also served as lead investigator on the business retrospective study for the Federal Highway Administration. The information gleaned from this investigation was to develop the most recent revisions to 49 CFR Part 24.

David Sillars, PhD	Risk Assessment Manager	Responsible for oversight of the risk management activities for the project. He has over 35 years of experience in risk and management consulting and transportation research. He assisted FTA with editing and developing the updated MAP-21 Risk and Contingency Management Oversight Procedure (OP 40) and updated FTA risk workbook. He has co-developed and delivered the New Starts risk management training program for the National Transit Institute on behalf of the FTA.
David Nelson	Transit Operations Manager	Responsible for oversight of the transit operations planning for the project Has more than 30 years of experience with in-depth, working knowledge of North American public transportation agencies. Was previously assistant director and project manager of planning and manager of transit applications for the Massachusetts Bay Transportation Authority and the manager of planning and administration for the Boston & Maine Railroad.

Appendix D: Project Overview and Map

Date: April 2016
Project Name: Honolulu Rail Transit Project
Grantee: City and County of Honolulu
FTA Regional contact: Catherine Luu
FTA HQ contact: Kim Nguyen

SCOPE

Description The proposed Project is an approximately 20-mile rail alignment extending from East Kapolei to Ala Moana Center.

Guideway The majority of the Project is to be built on aerial structure, but the Project also includes a short at-grade section (0.6 miles).

Stations 21 stations (20 aerial and 1 at-grade)

Support Facility Maintenance and Storage Facility (located near Leeward Community College)

Vehicles 80 light metro rail

Ridership 104,300 weekday boardings in 2019; 119,600 weekday boardings in 2030

SCHEDULE

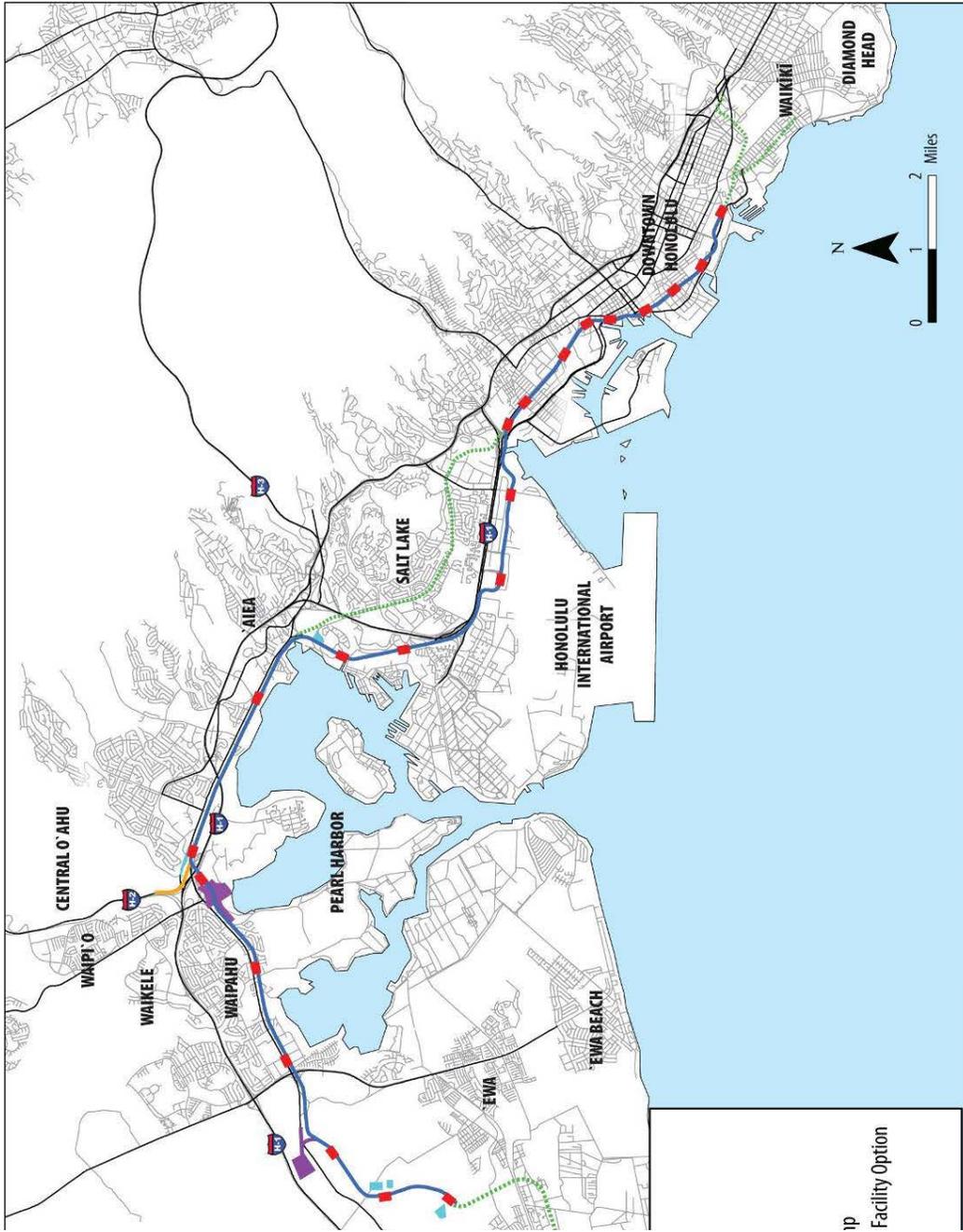
10/09 Approval Entry to PE	03/19 Estimated RSD at Entry to PE
12/11 Approval Entry to FD	03/19 Estimated RSD at Entry to FD
06/12 Request for FFGA	03/19 Estimated RSD at Request for FFGA
12/12 FFGA	01/20 RSD at FFGA

COST

\$5.348 B Total Project Cost (\$YOE) at Approval Entry to PE
\$5.126 B Total Project Cost (\$YOE) at Approval Entry to FD
\$5.122 B Total Project Cost (\$YOE) at request for an FFGA
\$5.122 B Total Project Cost (\$YOE) at FFGA

\$1.956B Amount of Expenditures at date of this report
44.9% complete (Total Project Expenditures/Total Project Cost)

Honolulu Rail Transit Project Map



Appendix E: Safety and Security Checklist

Project Overview			
Project Name	Honolulu Rail Transit Project		
Project mode (Rail, Bus, BRT, Multimode)	Rail		
Project phase (Preliminary Engineering, Final Design, Construction, or Start-up)	FD		
Project Delivery Method (Design/Build, Design/Build/Operate Maintain, CMGC, etc.)	DB, DBB and DBOM		
Project Plans	Version	Review by FTA	Status
Safety and Security Management Plan	5	Y	Complete
Safety and Security Certification Plan	4	Y	Complete
System Safety Program Plan			Submittal date Mar-13
System Security Plan or Security and Emergency Preparedness Plan (SSEPP)		N	TBD
Construction Safety and Security Plan	3	Jun-11	Completed Oct 2015
Safety and Security Authority	Y/N		Status
Is the grantee subject to 49 CFR Part 659 state safety oversight requirements?	Y		
Has the state designated an oversight agency as per Part 659.9	Y		Executive Order 10-04 effective April 6, 2010
Has the oversight agency reviewed and approved the grantee's SSPP as per Part 659.17?	N		Submission/Approval in 2013
Has the oversight agency reviewed and approved the grantee's Security Plan or SEPP as per Part 659.21?	N		Submission/Approval in 2013
Did the oversight agency participate in the last Quarterly Program Review Meeting?	Y		April 16, 2014
Has the grantee submitted its safety certification plan to the oversight agency?	Y		SOA information
Has the grantee implemented security directives issues by the Department Homeland Security, Transportation Security Administration?	N		None issued to date
SSMP Monitoring			
Is the SSMP project-specific, clearly demonstrating the scope of safety and security activities for this project?	Y		
Grantee reviews the SSMP and related project plans to determine if updates are necessary?	Y		
Does the grantee implement a process through which the Designated Function (DF) for Safety and DF for Security are integrated into the overall project management team? Please specify.	Y		
Does the grantee maintain a regularly scheduled report on the status of safety and security activities?	Y		Reported Monthly
Has the grantee established staffing requirements, procedures and authority for safety and security activities throughout all project phases?	Y		
Does the grantee update the safety and security responsibility matrix/organization chart as necessary?	Y		
Has the grantee allocated sufficient resources to oversee or carry out safety and security activities?	Y		
Has the grantee developed hazard and vulnerability analysis techniques, including specific types of analysis to be performed during different project phases?	Y		

Does the grantee implement regularly scheduled meetings to track to resolution any identified hazards and/or vulnerabilities?	Y	
Does the grantee monitor the progress of safety and security activities throughout all project phases? Please describe briefly.	Y	
Does the grantee ensure the conduct of preliminary hazard and vulnerability analyses? Please specify analyses conducted.	Y	
Has the grantee ensured the development of safety design criteria?	Y	
Has the grantee ensured the development of security design criteria?	Y	
Has the grantee verified conformance with the safety and security requirements in the design?	N	Will be done during FD/Construction
Has the grantee identified conformance with safety and security requirements in equipment and materials procurement?	N	Will be done during FD/Construction
Has the grantee verified construction specification conformance?	N	Will be done during construction
Has the grantee identified safety and security critical tests to be performed prior to passenger operations?	N	Will be done during Rail Activation phase
Has the grantee verified conformance with safety and security requirements during testing, inspection and start up phases?	N	Will be done during Rail Activation phase
Does the grantee evaluate change orders, design waivers, or test variances for potential hazards and/or vulnerabilities?	N	Will be done during FD/Construction
Has the grantee ensured the performance of safety and security analyses for proposed work-arounds?	N	Will be done during Rail Activation phase
Has the grantee demonstrated through meetings or other methods, the integration of safety and security in the following: <ul style="list-style-type: none"> • Activation Plan and Procedures • Integrated Test Plan and Procedures • Operations and Maintenance Plan • Emergency Operations Plan 	N	Will be done during Rail Activation phase
Has the grantee issued final safety and security certification?	N	Will be done after completion of Rail Activation phase
Has the grantee issued the final safety and security verification report?	N	Will be done during Rail Activation phase
Construction Safety		
Does the grantee have a documented/implementation Contractor Safety Program with which it expects contractors to comply?	Y	CSP development is included in construction contracts
Does the grantee's contractor(s) have a documented company-wide safety and security program plan?	TBD	Is a requirement of CSSP
Does the grantee's contractor(s) have a site-specific safety and security program plan?	TBD	Is a requirement of CSSP
Provide the grantee's OSHA statistics compared to the national average for the same type of work?	TBD	None developed yet
If the comparison is not favorable, what actions are being taken by the grantee to improve its safety record?	TBD	None developed yet
Does the grantee conduct site audits of the contractor's performance versus required safety/security procedures?	Y	Audit required in CSSP

Federal Railroad Administration		
If the shared track: has the grantee submitted its waiver request application to FRA? (Please identify any specific regulations for which waivers are being requested)	NA	
If the shared corridor: has grantee specified specific measures to address shared corridor safety concerns?	NA	
Is the Collision Hazard Analysis underway?	NA	
Other FRA required Hazard Analysis – fencing, etc?	NA	
Does the project have Quiet Zones?	NA	
Does FRA attend Quarterly Review Meetings?	NA	