

Nan Inc

License #ABC-19711
 636 Laumaka Street
 Honolulu, Hawaii 96819
 Telephone: (808) 842-4929
 Facsimile: (808) 841-8281

Honolulu Authority for Rapid Transportation
 Procurement Division
 1099 Alakea Street, Suite 1700
 Honolulu, Hawaii 96813

Bids Due Date/Submittal Date: Tuesday, November 17, 2015 @ 2 p.m. HST

**PROPOSAL FOR HONOLULU RAIL TRANSIT PROJECT (H RTP)
 KAMEHAMEHA HIGHWAY STATIONS GROUP CONSTRUCTION CONTRACT
 RFB-HRT-838104**

Items included in this Proposal:

Item	Provided:
Bidder Registration Form	✓
Bid/Pricing Proposal (Addendum No. 4) – includes Corporate Resolution & Certificate of Vendor Compliance	✓
Form 01: Joint Contractor/Subcontractor Listing; Specialty Licenses	✓
Form 02: Certification of Compliance with Safety and Health Programs (HRS §396-18)	✓
Form 03: Certificate Regarding Ineligible Contractors, Attachment 1.7(a)	✓
Form 05: Buy America Certificate, Attachments 1.8(a), 1.8(a.1), & 1.8(a.2) [Note: Attachment 1.8(a.2) is not applicable to Nan, Inc.]	✓
Form 06: Certification Regarding Lobbying	✓
Form 07: Surety Bid Bond	✓
Form 08a: Certification of Compliance for Employment of State of Hawaii Residents	✓
DLIR Form 1 – “Certification of Bidder’s Participation in Approved Apprenticeship Program Under Act 17” for the Apprenticeship Program	✓
Certificate of Vendor Compliance	✓
<u>Required Subcontractor Information:</u> • Bidder Registration Form	✓

Other Required Submissions/ Attachments to be submitted after Bids Due Date:

Item	Will be Provided:
• Exhibit M, Escrow Agreement for Escrowed Proposal Documents (includes Exhibit F, Insurance Premium Worksheet)	No later than 24 hours after bid opening; By or before Wednesday, November 18, 2015 @ 2 p.m. HST
• <u>Required Subcontractor Information:</u> ○ Form 04: Certificate Regarding Ineligible Subcontractors, Attachment 1.7(b) ○ Form 06: Certification Regarding Lobbying	After the bid opening but prior to award
• Performance and Payment Bonds • Letters of Assent of Rapid Transit Stabilization Agreements ○ Letter of Assent of the Rapid Transit Stabilization Agreement dated November 17, 2009 ○ Letter of Assent for the Transit Stabilization Agreement Hawaii Building and Construction Trades of Council Affiliates	If awarded, with the executed Contract

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

Nan, Inc.

Name of Firm (dba if applicable)

636 Laumaka Street Honolulu/Hawaii 96819
 Address City / State Zip Code

same as above
 Mailing Address City / State Zip Code

Fooney Freestone, President (808) 842-4929
 Name of Contact Person Telephone Number

estimating@nanhawaii.com
 Email Address

2. Check all that apply to the bidder:

<input checked="" type="checkbox"/> Prime Contractor	<input type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: 236220

4. Type of Work: General Contractor

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 1990

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input checked="" type="checkbox"/> More than \$17,000,000

THE FOLLOWING OFFER PAGES SHALL BE DETACHED FROM THE SOLICITATION DOCUMENT AND SUBMITTED AS THE OFFEROR'S BID

NAME Nan, Inc. TELEPHONE NO. 808 842-4929

ADDRESS 636 Laumaka Street, Honolulu, Hawaii 96819

CONTRACTOR'S LICENSE NO. ABC-19711 LICENSE CLASSIFICATION **see below
**A, B, C01, C13, C19, C22, C31, C33B, C36, C48

TO THE HONOLULU AUTHORITY FOR RAPID TRANSPORTATION:

1. The undersigned proposes to provide services, including construction services, in accordance with the Contract Documents and certifies to furnish and deliver all the materials and to do all Work and labor required for the Project at the prices stated in the Bid/Pricing Proposal below. The undersigned also certifies that the firm has examined the available sites of the proposed Work, the RFB, the Specifications, Plans and Drawings, the Special Provisions, General Conditions, and all other Contract Documents before submitting the Bid/Pricing Proposal and is satisfied as to the requirements therein. The submission of its Bid/Pricing Proposal shall be conclusive evidence that the bidder represents and acknowledges that it has made such examinations and investigations, including the character, quantity, quality, and scope of the Work, the quantities and quality of the materials to be supplied, the character of the site(s), and equipment and labor to be used, the requirements of the Contract Documents and how all such requirements correlate to the conditions of the Work site(s).
2. The undersigned represents that all workers, employees, and subcontractors intended to be used are skilled and experienced in the type of construction represented in the RFB and that the undersigned has no obligations, commitments or impediments of any kind that will limit or prevent verbal representations.
3. The undersigned further represents that neither the bidder nor any of the bidder's employees, agents, suppliers, or subcontractors has relied upon any verbal representations from HART, its employees or agents, including architects, engineers or consultants, in assembling its bid proposal; that the bidder's bid proposal is based solely upon the RFB and properly issued written addenda and not upon other written or verbal representations.
4. The undersigned declares that it is the only entity or party interested in the Bid/Pricing Proposal as principal and that its officers, employees, subsidiaries or parent corporations (check appropriate box below):

Have not in any way participated in any activities in restraint of trade, or been debarred with relation to public contracts either in the State of Hawai'i or any other State of the United States or on any federally-assisted contract during the five-year period immediately preceding this Bid/Pricing Proposal or either directly or indirectly entered into any agreement, participated in any collusion or otherwise taken any action in restraint of free competitive bidding in connection with this Contract.

Have participated in activities in restraint of trade with relation to public contracts either in the State of Hawai'i or any other State of the United States or on any federally assisted contracts during the five-year period immediately preceding this Bid/Pricing Proposal or entered into collusion, or restraint of free competitive bidding on this Contract, and are of the opinion that they are a responsible bidder entitled to the award of a contract involving, public moneys and

attach hereto an explanation of their activities in restraint of free trade, restraint of free competitive bidding, or collusion.

5. The bidder agrees to repair, maintain and guarantee all Work performed thereunder until accepted by HART.
6. Although no DBE Contract Goal has been established for this Contract, the Offeror assures that it will take affirmative steps to use as many of the race-neutral means of achieving DBE participation identified at 49 CFR 26.51(b) as practicable to afford opportunities to DBEs to participate in the Contract. A race-neutral measure is one that is, or can be, used to assist all small businesses.
7. We acknowledge receipt of the following Addenda:

NUMBER	DATE	NUMBER	DATE
Addendum No. 1	09/02/15		
Addendum No. 2	09/25/15		
Addendum No. 3	10/06/15		
Addendum No. 4	10/09/15		
Addendum No. 5	10/16/15		
Addendum No. 6	10/22/15		
Addendum No. 7	10/30/15		
Addendum No. 8	11/13/15		
Addendum No. 9	11/16/15		

8. The undersigned tenders herewith, as a bid guarantee/bid security for which receipt has been given, a certified check, bid bond, cashier's check, postal money order or bank money order in the amount of at least five percent (5%) of the amount proposed drawn to the order of the HONOLULU AUTHORITY FOR RAPID TRANSPORTATION.

**Bid/Pricing Proposal
Rev. 2**

ITEM NO.	BASE BID ITEM	QUANTITY	UNIT PRICE	UNIT	PRICE
1)	Mobilization			L.S.	\$ <u>3,770,200</u>
2)	Performance and Payment Bonds and Insurance			L.S.	\$ <u>1,503,900</u>
3)	All work required by the Contract Documents for the construction of the Pearl Highlands Station in accordance with the Contract Documents, except as otherwise included in Bid Items 3a), 3b), 3c) and 3d) below.			L.S.	\$ 30,988,600 ^{PF} <u>29,988,600</u>
3a)	Cost of platform box girders for Pearl Highlands Station.			L.S.	\$ <u>3,576,633</u>
3b)	Cost of canopy structure and fabric for platform and station entrance/fare gate for Pearl Highlands Station.			L.S.	\$ 674,600 ^{PF} <u>1,674,600</u>
3c)	Cost of drilled shaft work for Pearl Highlands Station. Unit rate of the L.F. cost for this station may be used for pricing of potential drilled shaft depth beyond design requirements. The unit rate cost is all-inclusive.	24" Diameter 505 LF	\$ <u>895</u>	L.F.	\$ <u>451,975</u>
		60" diameter: 843 LF	\$ <u>1,375</u>	L.F.	\$ <u>1,159,125</u>
		72" diameter 745 LF	\$ <u>1,570</u>	L.F.	\$ 1,169,650 ^{PF} <u>1,169,650</u>
3d)	Cost of Waiawa Stream work for Pearl Highlands Station, including No-Rise grading, surface protection, drainage and erosion control.			L.S.	\$ <u>6,881,900</u>
4)	All work required by the Contract Documents for the construction of the Pearlridge Station in accordance with the Contract Documents, except as otherwise included in Bid Items 4a), 4b) and 4c) below.			L.S.	\$ 26,900 ^{PF} <u>26,009,900</u>
4a)	Cost of platform box girders for Pearlridge Station.			L.S.	\$ <u>3,919,733</u>
4b)	Cost of canopy structure and fabric for platform and station entrance/fare gate for Pearlridge Station.			L.S.	\$ <u>1,660,000</u>

ITEM NO.	BASE BID ITEM	QUANTITY	UNIT PRICE	UNIT	PRICE
4c)	Cost of drilled shaft work for Pearlridge Station. Unit rate of the L.F. cost for this station may be used for pricing of potential drilled shaft depth beyond design requirements. The unit rate cost is all-inclusive.	24" diameter: <u>770 LF</u>	<u>\$ 960</u>	L.F.	<u>\$ 739,200</u>
		30" Diameter <u>2,743 LF</u>	<u>\$ 865</u>	L.F.	<u>\$ 2,372,695</u>
5)	All work required by the Contract Documents for the construction of the Aloha Stadium Station in accordance with the Contract Documents, except as otherwise included in Bid Items 5a), 5b), and 5c) below.			L.S.	<u>\$ 22,540,600</u>
5a)	Cost of center platform for Aloha Stadium Station.			L.S.	<u>\$ 3,166,434</u>
5b)	Cost of canopy structure and fabric for center platform for Aloha Stadium Station.			L.S.	<u>\$ 1,531,800</u>
5c)	Cost of all work required to construct the Park and Ride parking area, including sidewalks, concrete curb, wheel stops, vegetated swale, stripping, and other components of the Park and Ride area.			L.S.	<u>\$ 1,831,400</u>
6)	Excavate, transport, and dispose of hydrocarbon contaminated soil material in accordance with State and Federal regulations as stipulated in the Contract Documents, GC § 7.17.2. This pricing is an Allowance and will be paid by the cubic yard. The unit rate cost is all-inclusive and includes but is not limited to the cost of hauling and disposal at PVT.	1000 c.y. (quantity is for evaluation purposes only and is not an estimated quantity for this Project)	<u>320</u> \$/cy	Allowance	<u>\$ 320,000</u>

7)	Allowance for Compensable Project Delay. The daily rate will be the total amount of Contractor entitlement for each day of compensable delay. The quantity of days of compensable delay shown is a "multiplier" for evaluation purposes only; the actual number of days of compensable delay may be greater or less than the "multiplier" shown. HART will only pay the daily rate of compensation for the actual number of days of compensable delay, as defined in the General Conditions.	30 days	<u>30,000</u> \$/day	Allowa nce	\$ <u>900,000</u>
8)	Unit cost of concrete for potential subsurface voids encountered while placing concrete in production drilled shafts. This pricing establishes an Allowance and will be paid by the cubic yard. HART will pay the unit rate of compensation only for the actual amount of concrete over and above the theoretical volume of any single production drilled shaft. The unit rate cost is all-inclusive for an over pour event.	500 c.y. (the Quantity shown is a "multiplier" and not intended as an estimate of the quantity of expected c.y. of concrete)	<u>415</u> \$/c.y.	Allowa nce	\$ <u>237,500</u>
9)	Allowance for Field Change Notice Force Account. This pricing is an Allowance and will be paid in accordance with Section 5.9 Force Account.			Allowa nce	\$ <u>400,000.00</u>
TOTAL OF ALL BID ITEMS					\$ <u>1,158,005,845</u>

It is understood and agreed that the bidder has in good faith evenly and accurately distributed its general condition costs, overhead and profit to all line items and over the course of the term of the Contract.

It is understood and agreed that the goods and/or services as specified herein are being furnished for the exclusive use of HART.

It is also understood and agreed that the bid prices include all taxes which shall be applicable to the products or services or the furnishing, sale or purchase thereof whether assessed against, chargeable to or payable by HART.

It is also understood and agreed that unless otherwise specified in the solicitation, prices offered shall be based on f.o.b. place of destination and shall include all applicable freight, delivery, handling and related charges.

It is also understood and agreed that the Offeror agrees to submit its offer in accordance with the Contract terms, including the General Conditions attached hereto.

It is also understood and agreed that the Chief Procurement Officer (CPO) reserves the right to accept or reject any or all offers if, in the CPO's opinion, such acceptance or rejection will be in the best interest of HART.

The Offeror further understands and agrees that by submitting this offer, the Offeror is declaring that its offer is not in violation of HRS Chapter 84 concerning prohibited government contracts, and that the offer is certifying that the price(s) submitted was (were) independently arrived at without collusion.

The undersigned represents: **(Check one only)**

A **Hawai'i business** incorporated or organized under the laws of the State of Hawai'i;

OR

A **Non-Hawai'i business** not incorporated or organized under the laws of the State of Hawai'i.

State of Incorporation or Organization: _____

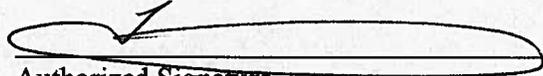
Offeror is: Sole Proprietor; Partnership; Corporation; Joint Venture;

Other: _____

Respectfully submitted,

Nan, Inc.

Name of Offeror (Legal Name)


Authorized Signature

Fooney Freestone, President

Print or Type Name and Title of Above

Business Address: 636 Laumaka Street
(Street address)

City, State, Zip Code: Honolulu, Hawaii 96819

Mailing Address: same as above
(If other than street address above)

City State, Zip Code: _____

Payment Address: same as above
(If other than street address above)

City State, Zip Code: _____

Business Telephone Number: (808) 842-4929

Business Cellular Telephone Number: (808) 551-9499

Business Fax Number: (808) 841-8281

Business E-Mail Address: estimating@nanhawaii.com

Person to Contact if Awarded: Fooney Freestone, President

Last 4 numbers of Federal Identification No.: XX-XXX 7519

END OF BID/PRICING PROPOSAL

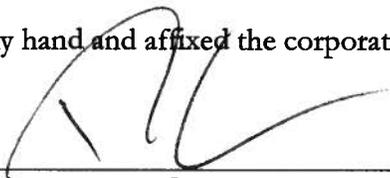
Nan Inc

License #ABC-19711
636 Laumaka Street
Honolulu, Hawaii 96819
Telephone: (808) 842-4929
Facsimile: (808) 841-8281

I, Ryan Nakaima, Secretary of Nan, Inc., a Hawaii corporation, do hereby certify that the following is a full, true and correct copy of a resolution duly adopted by the Board of Directors of said Corporation, at its meeting duly called and held at the office of the Corporation, 636 Laumaka Street, Honolulu, Hawaii, 96819, on the 31st day of December, 2004, at which a quorum was present and acting throughout; and that said resolution has not been modified, amended or rescinded and continues in full force and effect:

“RESOLVED that any individual at the time holding the position of President or Vice President, be, and each of them hereby is, authorized to execute on behalf of the Corporation any bid, proposal or contract for the sale or rental of the products of the Corporation or for services to be performed by the Corporation, and to execute any bond required by any such bid, proposal or contract with the United States Government or the State of Hawaii or the City and County of Honolulu, or any County or Municipal Government of said State, or any department or subdivision of any of them.”

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said Nan, Inc. this 17th day of November, 2015.



Secretary

*Corporate
Seal*



PRESIDENT & TREASURER:

Fooney Freestone
3412 Kahikolu Way
Honolulu, Hawaii 96818

VICE PRESIDENT & SECRETARY:

Ryan Nakaima
95-202 Kelakela Place
Mililani, Hawaii 96789

EXECUTIVE VICE PRESIDENT:

Lane K. Uchimura
136 Ho'olako Place
Honolulu, Hawaii 96825

VICE PRESIDENT:

Frank H. Okimoto
98-1748 Kupukupu Street
Aiea, Hawaii 96701



STATE OF HAWAII
STATE PROCUREMENT OFFICE

CERTIFICATE OF VENDOR COMPLIANCE

This document presents the compliance status of the vendor identified below on the issue date with respect to certificates required from the Hawaii Department of Taxation (DOTAX), the Internal Revenue Service, the Hawaii Department of Labor and Industrial Relations (DLIR), and the Hawaii Department of Commerce and Consumer Affairs (DCCA).

Vendor Name: **NAN, INC.**

DBA/Trade Name: **NAN, INC.**

Issue Date: **11/17/2015**

Status: **Compliant**

Hawaii Tax#: W20491010-01

FEIN/SSN#: XX-XXX7519

U#: XXXXXX3495

DCCA FILE#: 98419

Status of Compliance for this Vendor on issue date:

Form	Department(s)	Status
A-6	Hawaii Department of Taxation	Compliant
	Internal Revenue Service	Compliant
COGS	Hawaii Department of Commerce & Consumer Affairs	Compliant
LIR27	Hawaii Department of Labor & Industrial Relations	Compliant

Status Legend:

Status	Description
Exempt	The entity is exempt from this requirement
Compliant	The entity is compliant with this requirement or the entity is in agreement with agency and actively working towards compliance
Pending	The entity is compliant with DLIR requirement
Submitted	The entity has applied for the certificate but it is awaiting approval
Not Compliant	The entity is not in compliance with the requirement and should contact the issuing agency for more information

**Honolulu Rail Transit Project
Form 01**

Joint Contractor/Subcontractor Listing; Specialty Licenses

The bidder acknowledges that as a general contractor ("A" or "B" licenses) the bidder is prohibited from undertaking any work solely or as part of a larger project, which would require the bidder ("A" or "B" general contractor) to act as a specialty ("C" license) contractor in any area in which the bidder ("A" or "B" general contractor) has no specialty contractor's license. The bidder ("A" or "B" general contractor) must have the appropriate "C" specialty contractor's licenses either obtained on its own, or obtained automatically under HAR §16-77-32.

General Engineering "A" Contractors automatically have these "C" specialty contractor licenses: C-3, C-9, C-10, C-17, C-24, C-31a, C-32, C-35, C-37a, C-37b, C-38, C-43, C-49, C-56, C-57a, C-57b, and C-61.

General Building "B" Contractors automatically have these "C" specialty contractor licenses: C-5, C-6, C-10, C-12, C-24, C-25, C-31a, C-32a, C-42a, and C-42b.

Bidder agrees the completed listing of joint contractors or subcontractors is required for the project and that the bidder, together with the listed joint contractors and subcontractors, have the specialty contractors' licenses to complete the work.

Firm Name (Provide legal entity name holding the license)	Nature of Work to be Performed	Specialty License
<i>E.g. Adam Smith Plumbing, Inc. dba Hawaii Best Plumbing</i>	<i>Plumbing</i>	<i>C-37</i>
<i>Paul's Electrical Contracting, LLC</i>	<i>Electrical</i>	<i>C-13</i>
GP Roadway Solutions Inc.	Sign Contractor	C-14
Contech Engineering Inc.	Glazing and tinting contractor	C-22
Island Landscaping & Maintenance Inc.	Landscaping	C-27
Alakai Mechanical Corporation	Plumbing	C-37
A Team Pacific Roofing Inc.	Roofing	C-42

Honolulu Rail Transit Project
Form 01

Firm Name (Provide legal entity name holding the license)	Nature of Work to be Performed	Specialty License
Standard Sheetmetal & Mechanical Inc.	Ventilating and air conditioning	C-52
Alcal Specialty Contracting Inc.	Waterproofing	C-55
Paul's Electrical Contracting LLC	Pole and Line	C-62
Harris Rebar South Pacific Inc.	Concrete Reinforcing for	C-41
R & M Painting LLC	Painting	C-33
BirdAir Inc.	Tensioned Fabric Structures	B
Grace Pacific LLC	Asphalt Paving	C-3
Healy Tibbitts Builders Inc.	Drilled Shaft	C-35
Associated Steel Workers Ltd.	Rebar for Drilled Shafts	C-41

Honolulu Rail Transit Project
Form 02

**CERTIFICATION OF COMPLIANCE
WITH HRS §396-18, SAFETY AND HEALTH PROGRAMS**

PROJECT NAME AND NUMBER: KAMEHAMEHA HIGHWAY STATIONS GROUP
CONSTRUCTION CONTRACT

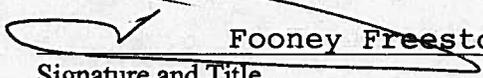
JOB NO. RFB-HRT-838104

This is to certify that the undersigned will comply with the requirements of HRS §396-18, as follows:

- (A) Pursuant to HRS §396-18, all bids and proposals in excess of \$100,000 shall include a signed certification from the bidder that a written safety and health plan for the job will be available and implemented by the Notice to Proceed dates of the Project. The written safety and health plan shall include:
- (1) A safety and health policy statement reflecting management commitment;
 - (2) A description of the safety and health responsibilities of all levels of management and supervisors on the job, and a statement of accountability appropriate to each;
 - (3) The details of:
 - (a) The mechanism for employee involvement in job hazard analysis;
 - (b) Hazard identification, including periodic inspections and hazard correction and control;
 - (c) Accident and "near-miss" investigations; and
 - (d) Evaluations of employee training programs.
 - (4) A plan to encourage employees to report hazards to management as soon as possible and to require management to address these hazards promptly; and
 - (5) A certification by a senior corporate or company manager that the plan is true and correct.
- (B) Failure to submit the required certification may be grounds for disqualification of the bid.
- (C) Failure to have available on site or failure to implement the written safety and health plan by the Project's Notice to Proceed dates shall be considered willful noncompliance and be sufficient grounds to disqualify the award and terminate the contract.

Nan, Inc.

Name of Contractor


Fooney Freestone, President
Signature and Title

11/17/15

Date



Honolulu Rail Transit Project
Form 03

Attachment 1.7(a)

CERTIFICATE REGARDING INELIGIBLE CONTRACTORS

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER
INELIGIBILITY AND VOLUNTARY EXCLUSION FROM TRANSACTIONS
FINANCED IN PART BY THE U.S. GOVERNMENT**

I, Fooney Freestone, President, hereby
(Name of Certifying Officer) (Title of Certifying Officer)

certify that Nan, Inc.:
(Name of Contractor)

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation by any Federal department or agency or from participation in the Honolulu Authority for Rapid Transportation Project.
2. Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in Paragraph 2 of this certification; and
4. Have not within a three-year period preceding this proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

If any Principal Participant is unable to certify to any of the statements in this certification, such prospective Principal Participant shall attach an explanation to this certification.

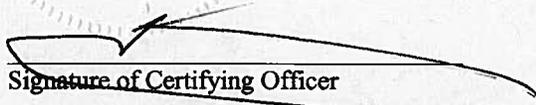
I hereby certify and affirm the truthfulness and accuracy of the above statement, and I understand that the provisions of 31 United States Code (U.S.C.) §3801 et seq., (Administrative Remedies for False Claims and Statements) are applicable hereto.

Nan, Inc
Name of Contractor

636 Laumaka Street
Street Address of Contractor

Honolulu, Hawaii 96819
City, State, Zip

(808) 842-4929
Telephone Number of Contractor


Signature of Certifying Officer

(Note: The above certification merely certifies that an Offeror and its subcontractors are not declared by the Federal Government or have not voluntarily declared themselves debarred, suspended, or declared ineligible from doing transactions with the Federal Government or any of its agencies.)

**Honolulu Rail Transit Project
Form 05**

Attachment 1.8(a)

BUY AMERICA CERTIFICATE

This procurement is subject to the Federal Transit Administration's (FTA's) Buy America Requirements (49 C.F.R. part 661).

The "Certificate of Compliance with Buy America Requirements" (Form 7a) or the "Certificate of Non-Compliance with Buy America Requirements" (Form 7b) must be completed and submitted with the bid proposal. After the Bids Due Date, each Offeror is bound by its original certification. However, in accordance with 49 USC 5323(j)(8), an Offeror may have the opportunity to correct an inadvertent error in its certification. An Offeror may correct any certification of noncompliance or failure to properly complete this certification if the Offeror attests under penalty of perjury that it submitted an incorrect certification as a result of an inadvertent or clerical error. The burden of establishing such inadvertent or clerical error is on the Offeror. The Offeror's failure to sign the certification is not considered an inadvertent or clerical error. A bid proposal which does not include the certificate will be considered non-responsive.

A false certification is a criminal act in violation of 18 U.S.C. section 1001. Should this procurement be investigated, the successful Offeror has the burden of proof to establish that it is in compliance.

A waiver of Buy America requirements may be sought by HART if grounds for the waiver exist; however, the Offeror certifies that it will comply with the applicable Buy America requirements if a waiver of those requirements is not available.

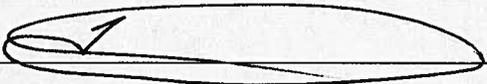
Honolulu Rail Transit Project
Form 05

Attachment 1.8(a.1)

**CERTIFICATE OF COMPLIANCE WITH
BUY AMERICA REQUIREMENTS**

The Offeror hereby certifies that it will comply with the requirements of 49 U.S.C. 5323(j)(1), and the applicable regulations in 49 C.F.R. part 661.

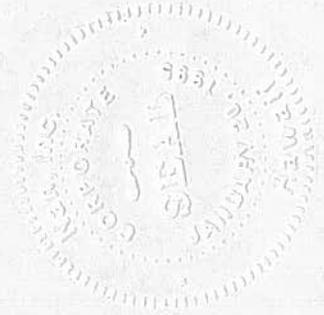
Date: 11/17/15

Signature: 

Offeror: Nan, Inc.

Name: Fooney Freestone

Title: President



Honolulu Rail Transit Project
Form 06

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) **No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned,** to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) **If any funds other than Federal appropriated funds have been paid or will be paid** to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The CONTRACTOR, Nan, Inc., certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: Nan, Inc.

Signature: _____

Print Name: Fooney Freestone

Title: President

Date: 11/17/15

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

Honolulu Rail Transit Project
Form 07

SURETY BID BOND

Bond No. N/A

KNOW TO ALL BY THESE PRESENTS:

That we, Nan, Inc., as Bidder, hereinafter called Principal, and
(Full name or legal title of Bidder)
FIDELITY AND DEPOSIT COMPANY OF MARYLAND
, as Surety, hereinafter called Surety,
(Name of bonding company)

a corporation authorized to transact business as a Surety in the State of Hawaii, are held and firmly bound unto the **HONOLULU AUTHORITY FOR RAPID TRANSPORTATION**, as Owner, hereinafter called Owner, in the penal sum of Five Percent (5%) of the total bid offer DOLLARS (\$ 5%), lawful money of the United States of America, for the payment of which sum well and truly to be made, the said Principal and the said Surety bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

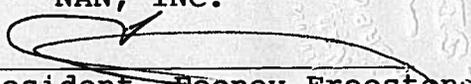
WHEREAS:

The Principal has submitted an offer for RFB-HRT-838104, Kamehameha Highway Stations Group Construction Contract,
(Project number, if available, and description)

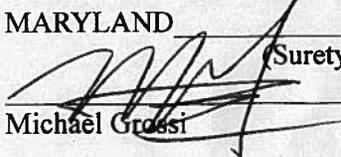
NOW, THEREFORE:

The condition of this obligation is such that if the Owner shall reject said offer, or in the alternate, accept the offer of the Principal and the Principal shall enter into a Contract with the Owner in accordance with the terms of such offer, and give such bond or bonds as may be specified in the solicitation or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof as specified in the solicitation then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed this 9th day of November, 2015.

NAN, INC.
By 
Its President, Pooney Freestone
(Principal)

By FIDELITY AND DEPOSIT COMPANY OF
Its MARYLAND
(Surety)

By 
Its Michael Grossi
(Attorney-in-Fact)

**ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Maryland, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Maryland (herein collectively called the "Companies"), by **MICHAEL BOND, Vice President**, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint **Francis WIRT, Chad W. KARASAKI, Michael GROSSI, Janet L. NG and Arlene A. TANAKA, all of Honolulu, Hawaii, EACH** its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: **any and all bonds and undertakings**, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 4th day of September, A.D. 2015.

ATTEST:

**ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**



By: *Eric D. Barnes*
Secretary
Eric D. Barnes

Michael Bond
Vice President
Michael Bond

State of Maryland
County of Baltimore

On this 4th day of September, A.D. 2015, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **MICHAEL BOND, Vice President, and ERIC D. BARNES, Secretary**, of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, deposed and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

Maria D. Adamski



Maria D. Adamski, Notary Public
My Commission Expires: July 8, 2019

Honolulu Rail Transit Project
Form 08a

HONOLULU AUTHORITY FOR RAPID TRANSPORTATION

CERTIFICATION OF COMPLIANCE
FOR
EMPLOYMENT OF STATE OF HAWAII RESIDENTS
FOR CONSTRUCTION WORK
(To Be Submitted with Bid)

Project Title: Kamehaha Highway Stations Group Construction Contract

Solicitation No.: RFB-HRT-838104

I hereby certify, under oath, that I am an officer of Nan, Inc. (name of Contractor or subcontract company) and, for the Project Contract indicated above, Nan, Inc. (name of the Contractor or subcontract company) will employ a construction workforce of which not less than eighty percent are Hawaii residents, calculated according to the formula in the Contract Documents.

I am an officer of the Contractor for this contract.

CORPORATE SEAL

Nan, Inc.
(Name of Company)

[Signature]
(Signature)

Fooney Freestone
(Print Name)

President
(Print Title)

Subscribed and sworn to me before this
17 day of November, 2015.

Doc. Date: 11/17/15 # of Pages 1 1st Circuit

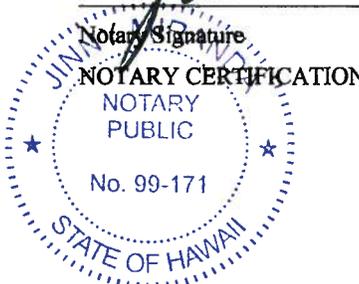
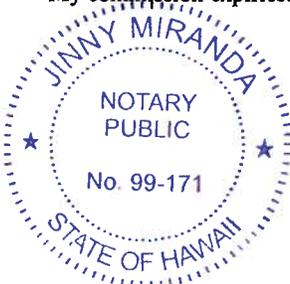
Notary Name: Jinny Miranda

Doc. Description: Certification of Compliance for
Employment of State of Hawaii Residents

Notary Public, 1st Circuit, State of Hawaii

My commission expires: 4/14/2019

[Signature] 11/17/15
Notary Signature Date



FORM I

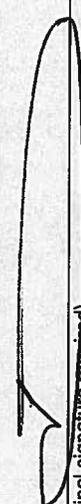
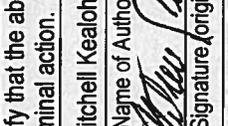
CERTIFICATION OF BIDDER'S PARTICIPATION IN APPROVED APPRENTICESHIP PROGRAM UNDER ACT 17

I. Bidder's Identifying Information			
A. Legal Business Name: <u>Nan, Inc.</u>			
B. Project Bid Title & Reference No.: <u>Kam Hwy Stations Group Construction Contract,</u>			
C. Contact Person's Name: <u>Fooney Freestone</u>			
1. Phone No.: <u>(808) 842-4929</u>		2. E-Mail: <u>estimating@nanhawaii.com</u>	
II. Apprenticable Trades To Be Employed*			
A. (List)			
1. Construction Craft Laborer	B. Apprenticeship Sponsor* (One Sponsor Per Form)	C. No. Enrolled (# of apprentices currently enrolled as of bidder's request date)	D. No. Completed (# of apprentices who completed the apprenticeship program in the 12 months prior to request date)
2.	Hawaii Laborers' Joint Apprenticeship Committee	123	33
3.			
4.			
5.			
6.			
III. Bidder's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the preference under Act 17 and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference.			
A. Name (Type) <u>Fooney Freestone</u>		B. Title <u>President</u>	
C. Signature (original signature required)		D. Date <u>11/17/15</u>	
IV. Apprenticeship Sponsor's Contact Information			
A. Training Coordinator's Name: <u>Lawrence DeRego</u>			
B. Address: <u>73-4171 Huliikoa Drive, Kona, HI 96740</u>			
C. Phone No.: <u>(808) 329-1590</u>		D. E-Mail: <u>lderego@hiltip.org</u>	
E. Fax No.: <u>(808) 329-1591</u>			
V. Apprenticeship Program Sponsor's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the bidder's preference and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference under Act 17.			
Francis Tau'a		Director of Training	
A. Name of Authorized Official		B. Title	
C. Signature (original signature required)		NOVEMBER 17, 2015	
		D. Date	

* Name of Apprenticable Trade and Apprenticeship Sponsor must be the same as recorded in the List of Construction Trades in Registered Apprenticeship Programs that is posted on the State Department of Labor and Industrial Relations website.

FORM I

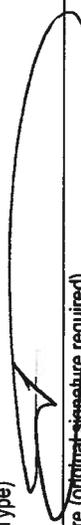
CERTIFICATION OF BIDDER'S PARTICIPATION IN APPROVED APPRENTICESHIP PROGRAM UNDER ACT 17

I. Bidder's Identifying Information			
A. Legal Business Name: <u>Nan, Inc.</u>			
B. Project Bid Title & Reference No.: <u>Kam Hwy Stations Group Construction Contract,</u>			
C. Contact Person's Name: <u>Fooney Freestone</u> <u>RFB-HRT-838104</u>			
1. Phone No.: <u>(808) 842-4929</u>		2. E-Mail: <u>estimating@nanhawaii.com</u>	
II. Apprenticeship Trades To Be Employed*			
A. (List)			
B. Apprenticeship Sponsor* (One Sponsor Per Form)	C. No. Enrolled (# of apprentices currently enrolled as of bidder's request date)	D. No. Completed (# of apprentices who completed the apprenticeship program in the 12 months prior to request date)	
1. Construction Equipment Operator	69	2	
2. Heavy Duty Repairman & Welder	9	0	
3. Truck Operator & Driver	0	0	
4. Paving Equipment Operator	10	6	
5.			
6.			
III. Bidder's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the preference under Act 17 and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference.			
A. Name (Type)		President	
Fooney Freestone			
B. Title		11/17/15	
C. Signature (original signature required)		D. Date	
			
IV. Apprenticeship Sponsor's Contact Information			
A. Training Coordinator's Name:		Mitchell Kealoha Jr.	
B. Address:		P.O. Box 428, 56-1160 Kamehameha Highway, Kahuku, HI 96731	
C. Phone No.: (808) 232-2001		D. E-Mail: mitchellkj@gmail.com	
E. Fax No: (808) 232-2217			
V. Apprenticeship Program Sponsor's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the bidder's preference and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference under Act 17.			
Mitchell Kealoha Jr.		State Administrator	
A. Name of Authorized Official		B. Title	
		NOVEMBER 17, 2015	
C. Signature (original signature required)		D. Date	

* Name of Apprenticeship Trade and Apprenticeship Sponsor must be the **same** as recorded in the List of Construction Trades in Registered Apprenticeship Programs that is posted on the State Department of Labor and Industrial Relations website.

FORM I

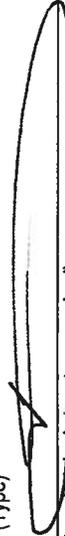
CERTIFICATION OF BIDDER'S PARTICIPATION IN APPROVED APPRENTICESHIP PROGRAM UNDER ACT 17

I. Bidder's Identifying Information			
A. Legal Business Name: <u>Nan, Inc.</u>			
B. Project Bid Title & Reference No.: <u>Kam Hwy Stations Group Construction Contract, RFB-HRT-838104</u>			
C. Contact Person's Name: <u>Fooney Freestone</u>			
1. Phone No.: <u>(808) 842-4929</u>		2. E-Mail: <u>estimating@nanhawaii.com</u>	
II. Apprenticeship Trades To Be Employed*			
A. (List)			
1. BRICKLAYER MASON	B. Apprenticeship Sponsor* (One Sponsor Per Form)	C. No. Enrolled (# of apprentices currently enrolled as of bidder's request date)	D. No. Completed (# of apprentices who completed the apprenticeship program in the 12 months prior to request date)
2.	J.A.T.C. FOR BRICKLAYER MASON	286	8
3.			
4.			
5.			
6.			
III. Bidder's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the preference under Act 17 and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference.			
A. Name (Type) <u>Fooney Freestone</u>			
B. Title <u>President</u>			
C. Signature (original signature required) 			
D. Date <u>11/17/15</u>			
IV. Apprenticeship Sponsor's Contact Information			
A. Training Coordinator's Name: <u>DARIO A. BEDAN</u>			
B. Address: <u>1188 SAND ISLAND PARKWAY, HONOLULU, HI 96819</u>			
C. Phone No.: <u>(808) 848-0565</u>			
D. E-Mail: <u>himpdff@hawaii.rr.com</u>			
E. Fax No.: <u>(808) 847-7068</u>			
V. Apprenticeship Program Sponsor's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the bidder's preference and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference under Act 17.			
ROBERTHA LANE			
A. Name of Authorized Official			
			
B. Title <u>ADMINISTRATIVE ASSISTANT</u>			
C. Signature (original signature required)			
D. Date <u>NOVEMBER 16, 2015</u>			

* Name of Apprenticeship Trade and Apprenticeship Sponsor must be the **same** as recorded in the List of Construction Trades in Registered Apprenticeship Programs that is posted on the State Department of Labor and Industrial Relations website.

FORM 1

CERTIFICATION OF BIDDER'S PARTICIPATION IN APPROVED APPRENTICESHIP PROGRAM UNDER ACT 17

I. Bidder's Identifying Information			
A. Legal Business Name: <u>Nan, Inc.</u>			
B. Project Bid Title & Reference No.: <u>Kam Hwy Stations Group Construction Contract,</u>			
C. Contact Person's Name: <u>Fooney Freestone</u> RFB-HRT-838104			
1. Phone No.: <u>(808) 842-4929</u>		2. E-Mail: <u>estimating@nanhawaii.com</u>	
II. Apprenticeship Trades To Be Employed*			
A. (List)		B. Apprenticeship Sponsor* (One Sponsor Per Form)	C. No. Enrolled (# of apprentices currently enrolled as of bidder's request date)
1. CEMENT FINISHER		J.A.T.C. FOR CEMENT FINISHER	286
2.			
3.			
4.			
5.			
6.			
III. Bidder's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the preference under Act 17 and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference.			
A. Name (Type)		<u>Fooney Freestone</u>	
B. Title		<u>President</u>	
C. Signature (original signature required)			
D. Date		<u>11/17/15</u>	
IV. Apprenticeship Sponsor's Contact Information			
A. Training Coordinator's Name: <u>EL-JOHN K. WONG</u>			
B. Address: <u>1188 SAND ISLAND PARKWAY, HONOLULU, HI 96819</u>			
C. Phone No.: <u>(808) 848-0565</u>		D. E-Mail: <u>himpdff@hawaii.rr.com</u>	
E. Fax No.: <u>(808) 847-7068</u>			
V. Apprenticeship Program Sponsor's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the bidder's preference and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference under Act 17.			
A. Name of Authorized Official		<u>ROBERTHA LANE</u>	
B. Title		<u>ADMINISTRATIVE ASSISTANT</u>	
C. Signature (original signature required)			
D. Date		<u>NOVEMBER 16, 2015</u>	

* Name of Apprenticeship Trade and Apprenticeship Sponsor must be the **same** as recorded in the List of Construction Trades in Registered Apprenticeship Programs that is posted on the State Department of Labor and Industrial Relations website.

FORM I

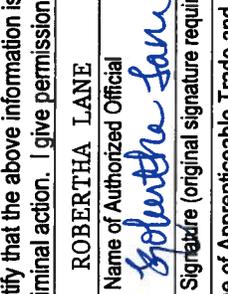
CERTIFICATION OF BIDDER'S PARTICIPATION IN APPROVED APPRENTICESHIP PROGRAM UNDER ACT 17

I. Bidder's Identifying Information			
A. Legal Business Name: Nan, Inc.			
B. Project Bid Title & Reference No.: Kam Hwy Stations Group Construction Contract, RFB-HRT-838104			
C. Contact Person's Name: Fooney Freestone			
1. Phone No.:	(808) 842-4929	2. E-Mail:	estimating@nanhawaii.com
II. Apprenticeship Trades To Be Employed*			
A. (List)		B. Apprenticeship Sponsor* (One Sponsor Per Form)	C. No. Enrolled (# of apprentices currently enrolled as of bidder's request date)
1.	PLASTERER	J.A.T.C. FOR PLASTERER	286
2.			5
3.			
4.			
5.			
6.			
III. Bidder's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the preference under Act 17 and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference.			
A. Name (Type)		Fooney Freestone	
B. Title		President	
C. Signature (original signature required)		11/17/15	
D. Date			
IV. Apprenticeship Sponsor's Contact Information			
A. Training Coordinator's Name:		RUDY-LEE W. DOMOGSAC	
B. Address:		1188 SAND ISLAND PARKWAY, HONOLULU, HI 96819	
C. Phone No.:		(808) 848-0565	
D. E-Mail:		himppttf@hawaii.rr.com	
E. Fax No.:		(808) 847-7068	
V. Apprenticeship Program Sponsor's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the bidder's preference and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference under Act 17.			
A. Name of Authorized Official		ROBERTHA LANE	
B. Title		ADMINISTRATIVE ASSISTANT	
C. Signature (original signature required)		NOVEMBER 16, 2015	
D. Date			

* Name of Apprenticeship Trade and Apprenticeship Sponsor must be the same as recorded in the List of Construction Trades in Registered Apprenticeship Programs that is posted on the State Department of Labor and Industrial Relations website.

FORM I

CERTIFICATION OF BIDDER'S PARTICIPATION IN APPROVED APPRENTICESHIP PROGRAM UNDER ACT 17

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B. Project Bid Title & Reference No.: <u>Kam Hwy Stations Group Construction Contract,</u>			
C. Contact Person's Name: <u>Fooney Freestone</u> RFB-HRT-838104			
1. Phone No.: <u>(808) 842-4929</u>		2. E-Mail: <u>estimating@nanhawaii.com</u>	
II. Apprenticeship Trades To Be Employed*			
A. (List)		B. Apprenticeship Sponsor* (One Sponsor Per Form)	C. No. Enrolled (# of apprentices currently enrolled as of bidder's request date)
1. <u>POINTNER CAULKER WEATHERPROOFER</u>		<u>J.A.T.C. FOR POINTNER CAULKER</u>	<u>286</u>
2. <u>WEATHERPROOFER</u>			
3. _____			
4. _____			
5. _____			
6. _____			
III. Bidder's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the preference under Act 17 and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference.			
A. Name (Type)		B. Title	
<u>Fooney Freestone</u>		<u>President</u>	
C. Signature (original signature required)		D. Date	
		<u>11/17/15</u>	
IV. Apprenticeship Sponsor's Contact Information			
A. Training Coordinator's Name:		D. E-Mail:	
<u>JEFFREY S. ORNELLAS</u>		<u>himpdff@hawaii.rr.com</u>	
B. Address:		E. Fax No:	
<u>1188 SAND ISLAND PARKWAY, HONOLULU, HI 96819</u>		<u>(808) 847-7068</u>	
C. Phone No.:			
<u>(808) 848-0565</u>			
V. Apprenticeship Program Sponsor's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the bidder's preference and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference under Act 17.			
A. Name of Authorized Official		B. Title	
<u>Roberttha Lane</u>		<u>ADMINISTRATIVE ASSISTANT</u>	
C. Signature (original signature required)		D. Date	
		<u>NOVEMBER 16, 2015</u>	

* Name of Apprenticeship Trade and Apprenticeship Sponsor must be the **same** as recorded in the List of Construction Trades in Registered Apprenticeship Programs that is posted on the State Department of Labor and Industrial Relations website.

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B. Project Bid Title & Reference No.: <u>Kam Hwy Stations Group Construction Contract,</u>			
C. Contact Person's Name: <u>Fooney Freestone</u> REF--HRT-838104			
1. Phone No.: <u>(808) 342-4929</u>		2. E-Mail: <u>estimating@nanhawaii.com</u>	
II. Apprenticeship Trades To Be Employed*			
A. (List)		B. Apprenticeship Sponsor* (One Sponsor Per Form)	C. No. Enrolled (# of apprentices currently enrolled as of bidder's request date)
1. TILE SETTER (CERAMIC, HARD TILE)		J.A.T.C. FOR TILE SETTER (CERAMIC, HARD TILE)	286
2.			
3.			
4.			
5.			
6.			
III. Bidder's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the preference under Act 17 and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference.			
A. Name (Type)		President	
B. Title		11/17/15	
C. Signature (original signature required)		D. Date	
IV. Apprenticeship Sponsor's Contact Information			
A. Training Coordinator's Name:		JEFFREY S. ORNELIAS	
B. Address:		1188 SAND ISLAND PARKWAY, HONOLULU, HI 96819	
C. Phone No.:		D. E-Mail: himpttf@hawaii.rr.com	
E. Fax No.:		(808) 847-7068	
V. Apprenticeship Program Sponsor's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the bidder's preference and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference under Act 17.			
ROBERTHA LANE		ADMINISTRATIVE ASSISTANT	
A. Name of Authorized Official		B. Title	
		NOVEMBER 16, 2015	
C. Signature (original signature required)		D. Date	

* Name of Apprenticeship Trade and Apprenticeship Sponsor must be the same as recorded in the List of Construction Trades in Registered Apprenticeship Programs that is posted on the State Department of Labor and Industrial Relations website.

FORM I

CERTIFICATION OF BIDDER'S PARTICIPATION IN APPROVED APPRENTICESHIP PROGRAM UNDER ACT 17

I. Bidder's Identifying Information			
A. Legal Business Name: Nan, Inc.			
B. Project Bid Title & Reference No.: Kam Hwy Stations Group Construction Contract,			
C. Contact Person's Name: Fooney Freestone RFB-HRT-838104			
1. Phone No.: (808) 842-4929		2. E-Mail: estimating@nanhawaii.com	
II. Apprenticeship Trades To Be Employed*			
A. (List)			
1. STONE MASON	B. Apprenticeship Sponsor* (One Sponsor Per Form) J.A.T.C. for STONE MASON	C. No. Enrolled (# of apprentices currently enrolled as of bidder's request date) 286	D. No. Completed (# of apprentices who completed the apprenticeship program in the 12 months prior to request date) 2
2.			
3.			
4.			
5.			
6.			
III. Bidder's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the preference under Act 17 and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference.			
A. Name (Type) Fooney Freestone		B. Title President	
C. Signature (original signature required) 		D. Date 11/17/15	
IV. Apprenticeship Sponsor's Contact Information			
A. Training Coordinator's Name: DARIO A. REDAN		E. Fax No.: (808) 847-7068	
B. Address: 1188 SAND ISLAND PARKWAY, HONOLULU, HI 96819			
C. Phone No.: (808) 848-0565		D. E-Mail: himpdff@hawaii.rr.com	
V. Apprenticeship Program Sponsor's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the bidder's preference and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference under Act 17.			
ROBERTHA LANE		ADMINISTRATIVE ASSISTANT	
A. Name of Authorized Official 		B. Title NOVEMBER 16, 2015	
C. Signoff (original signature required)		D. Date	

* Name of Apprenticeship Trade and Apprenticeship Sponsor must be the same as recorded in the List of Construction Trades in Registered Apprenticeship Programs that is posted on the State Department of Labor and Industrial Relations website.

FORM 1

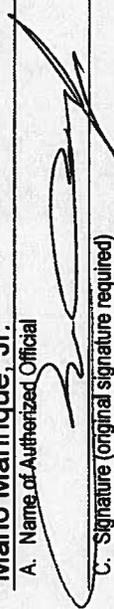
CERTIFICATION OF BIDDER'S PARTICIPATION IN APPROVED APPRENTICESHIP PROGRAM UNDER ACT 17

I. Bidder's Identifying Information			
A. Legal Business Name: <u>Nan, Inc.</u>			
B. Project Bid Title & Reference No.: <u>Kam Hwy Stations Group Construction Contract,</u>			
C. Contact Person's Name: <u>Fooney Freestone</u> RFB-HRT-838104			
1. Phone No.: <u>(808) 842-4929</u>		2. E-Mail: <u>estimating@nanhawaii.com</u>	
II. Apprenticeship Trades To Be Employed*			
A. (List)	B. Apprenticeship Sponsor* (One Sponsor Per Form)	C. No. Enrolled (# of apprentices currently enrolled as of bidder's request date)	D. No. Completed (# of apprentices who completed the apprenticeship program in the 12 months prior to request date)
1. <u>Carpenter</u>	<u>Hawaii Carpenters Apprenticeship and Training Program</u>	<u>1672</u>	<u>99</u>
2. _____	_____	_____	_____
3. _____	_____	_____	_____
4. _____	_____	_____	_____
5. _____	_____	_____	_____
6. _____	_____	_____	_____
III. Bidder's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the preference under Act 17 and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference.			
A. Name (Type) _____		B. Title _____	
_____		_____	
C. Signature (original signature required) _____		D. Date _____	
_____		_____	
IV. Apprenticeship Sponsor's Contact Information			
A. Training Coordinator's Name: <u>Edmund C. Aczon</u>		E. Fax No: <u>(808) 841-5961</u>	
B. Address: <u>1311 Houghtailing Street, Suite 201, Honolulu, HI 96817</u>		_____	
C. Phone No.: <u>(808) 848-0794, x5</u>		D. E-Mail: _____	
V. Apprenticeship Program Sponsor's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the bidder's preference and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference under Act 17.			
Edmund C. Aczon		Director of Apprenticeship & Training	
A. Name of Authorized Official _____		B. Title _____	
_____		_____	
C. Signature (original signature required) _____		D. Date _____	
_____		_____	

* Name of Apprenticeship Trade and Apprenticeship Sponsor must be the same as recorded in the List of Construction Trades in Registered Apprenticeship Programs that is posted on the State Department of Labor and Industrial Relations website.

FORM I

CERTIFICATION OF BIDDER'S PARTICIPATION IN APPROVED APPRENTICESHIP PROGRAM UNDER ACT 17

I. Bidder's Identifying Information			
A. Legal Business Name: <u>Nan, Inc.</u>			
B. Project Bid Title & Reference No.: <u>Kam Hwy Stations Group Construction Contract, RFB-HRT-838104</u>			
C. Contact Person's Name: <u>Fooney Freestone</u>			
1. Phone No.: <u>(808) 842-4929</u>		2. E-Mail: <u>estimating@nanhawaii.com</u>	
II. Apprenticeship Trades To Be Employed*			
A. (List)		B. Apprenticeship Sponsor* (One Sponsor Per Form)	D. No. Completed (# of apprentices who completed the apprenticeship program in the 12 months prior to request date)
1. <u>Tapers</u>		<u>Joint Apprenticeship Committee</u>	<u>9</u>
2. _____		<u>for Tapers</u>	_____
3. _____		_____	_____
4. _____		_____	_____
5. _____		_____	_____
6. _____		_____	_____
III. Bidder's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the preference under Act 17 and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference.			
<u>Fooney Freestone</u>			
A. Name (Type)		B. Title	
_____		<u>President</u>	
C. Signature (original signature required)		D. Date	
		<u>11/16/15</u>	
IV. Apprenticeship Sponsor's Contact Information			
A. Training Coordinator's Name: <u>Mario Manrique, Jr.</u>			
B. Address: <u>2240 Young Street, Honolulu, HI 96826</u>			
C. Phone No.: <u>(808) 946-6621</u>		E. Fax No.: <u>(808)946-6623</u>	
D. E-Mail: <u>mmanrique@dc50.org</u>			
V. Apprenticeship Program Sponsor's Certification			
I certify that the above information is accurate to the best of my knowledge. I understand that my willful misstatement of facts may cause forfeiture of the bidder's preference and may result in criminal action. I give permission for outside sources to be contacted and for them to disclose any information necessary to verify the bidder's preference under Act 17.			
<u>Mario Manrique, Jr.</u>			
A. Name of Authorized Official		B. Title	
		<u>Training Coordinator</u>	
C. Signature (original signature required)		D. Date	
_____		<u>11/16/15</u>	

* Name of Apprenticeship Trade and Apprenticeship Sponsor must be the *same* as recorded in the List of Construction Trades in Registered Apprenticeship Programs that is posted on the State Department of Labor and Industrial Relations website.

Nan Inc

License #ABC-19711
636 Laumaka Street
Honolulu, Hawaii 96819
Telephone: (808) 842-4929
Facsimile: (808) 841-8281

Honolulu Authority for Rapid Transportation
Procurement Division
1099 Alakea Street, Suite 1700
Honolulu, Hawaii 96813

Bids Due Date/Submittal Date: Tuesday, November 17, 2015 @ 2 p.m. HST

**PROPOSAL FOR HONOLULU RAIL TRANSIT PROJECT (H RTP)
KAMEHAMEHA HIGHWAY STATIONS GROUP CONSTRUCTION CONTRACT
RFB-HRT-838104**

Required Subcontractor Information

Item	Provided:
• Bidder Registration Form	✓

Other Required Submissions/ Attachments to be submitted after Bids Due Date:

Item	Will be Provided:
• Form 04: Certificate Regarding Ineligible Subcontractors, Attachment 1.7(b)	After the bid opening but prior to award
• Form 06: Certification Regarding Lobbying	

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

G.P. Roadway Solutions, Inc.

Name of Firm (dba if applicable)

660 Maunapuna Street

Honolulu, HI

96819

Address

City / State

Zip Code

P.O. Box 4319

Honolulu, HI

96812-4319

Mailing Address

City / State

Zip Code

Jeffrey Hung

808-833-2502 ext 224

Name of Contact Person

Telephone Number

estimating@gproadwaysolutions.com

Email Address

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input checked="" type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: 237310, 238990, 332312, 332323, 339950, 423390, 444190

4. Type of Work: Construction, Sales, Rental

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 1986

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input checked="" type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input checked="" type="checkbox"/> More than \$17,000,000

Honolulu Rail Transit Project
Form 04

Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

*Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions*

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)



Signed

William A. Paik

Typed or Printed Name

QP Roadway Solutions, Inc.

Company Name

11/16/15

Date

Honolulu Rail Transit Project
Form 06

Attachment 1.9a
CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The SUBCONTRACTOR, AP Roadway Solutions, Inc., certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the SUBCONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: AP Roadway Solutions, Inc.
Signature: [Signature]
Print Name: William A. Paik
Title: President
Date: 11/17/15

NOTE: THE CONSULTANT IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

CONTECH ENGINEERING, INC.

Name of Firm (dba if applicable)

900 N. NIMITZ HWY, SUITE 208 HONOLULU HI 96817

Address

City / State

Zip Code

SAME

Mailing Address

City / State

Zip Code

JONATHAN LIN

(808) 791-2008

Name of Contact Person

Telephone Number

JONATHAN@CONTECHENGINEERINGINC.COM

Email Address

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: 236220

4. Type of Work: GLAZING, GENERAL BUILDING

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 2004

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input type="checkbox"/> \$5,000,000 to \$9,999,999
<input checked="" type="checkbox"/> \$10,000,000 to \$16,999,999	<input type="checkbox"/> More than \$17,000,000

Honolulu Rail Transit Project
Form 06

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The CONTRACTOR, Contech Engineering, Inc., certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: CONTECH ENGINEERING, INC.
Signature: Jonathan Lin
Print Name: JONATHAN LIN
Title: PRESIDENT
Date: 11/17/15

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

Honolulu Rail Transit Project
Form 04

Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

*Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions*

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)

Jonathan Lin

Signed

JONATHAN LIN

Typed or Printed Name

CONTECH ENGINEERING, INC

Company Name

4/17/15

Date

Honolulu Rail Transit Project

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

Island Landscaping and Maintenance, Inc.

Name of Firm (dba if applicable)

94-150 Leoleo Street, 3A, Waipahu, HI 96797

Address City / State Zip Code

Same as above

Mailing Address City / State Zip Code

Patrick G. Kuniyoshi

808 671-2623

Name of Contact Person

Telephone Number

islandlandscaping@hawaiiantel.net

Email Address

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: 561730

4. Type of Work: Landscape

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 1976

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input checked="" type="checkbox"/> \$1,000,000 to \$4,999,999	<input type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input type="checkbox"/> More than \$17,000,000

**Honolulu Rail Transit Project
Form 06**

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) **No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.**
- (2) **If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.).]**
- (3) **The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The CONTRACTOR, _____, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: Island Landscaping and Maintenance, Inc.

Signature: 

Print Name: Patrick G. Kuniyoshi

Title: President

Date: November 16, 2015

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

**Honolulu Rail Transit Project
Form 04**

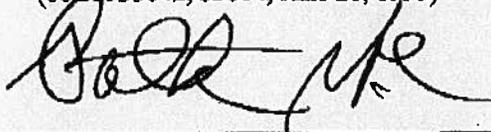
Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

***Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions***

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)



Signed

Patrick G. Kuniyoshi, President

Typed or Printed Name

Island Landscaping and Maintenance, Inc.

Company Name

November 16, 2015

Date

Kamehameha Highway Stations Group Construction

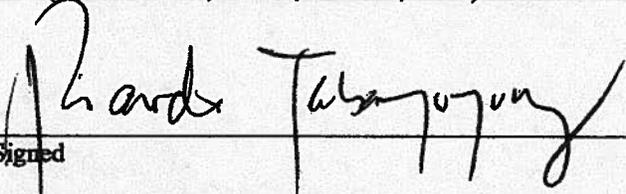
Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

***Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions***

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)


Signed

RICARDO TABAGAYUNG
Typed or Printed Name

ALCAL SPECIALTY Contracting Inc
Company Name

11/17/15
Date

Attachment 1.9a
CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) **No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned,** to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) **If any funds other than Federal appropriated funds have been paid or will be paid** to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The CONSULTANT, _____, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONSULTANT understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: ALCAL SPECIALTY CONTRACTING, INC
Signature: [Handwritten Signature]
Print Name: DICARDO TABAYON
Title: ESTIMATOR / Proj. Manager
Date: 11/17/15

NOTE: THE CONSULTANT IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

ALCAL SPECIALTY CONTRACTING, INC

Name of Firm (dba if applicable)

91-446 Konihauna St. Kapolei HI 96707

Address

City / State

Zip Code

Same

Mailing Address

City / State

Zip Code

RICARDO TABAYO YONG 808-682-5222

Name of Contact Person

Telephone Number

Ricardo.Tabayo@deal.com

Email Address

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: _____

4. Type of Work: Painting & Waterproofing

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 1992

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input type="checkbox"/> \$5,000,000 to \$9,999,999
<input checked="" type="checkbox"/> \$10,000,000 to \$16,999,999	<input type="checkbox"/> More than \$17,000,000

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

<u>Alaka'i Mechanical Corporation</u>		
Name of Firm (dba if applicable)		
<u>2655 Waiwai Loop</u>	<u>Honolulu, Hawaii</u>	<u>96819</u>
Address	City / State	Zip Code
<u>Same as Above</u>		
Mailing Address	City / State	Zip Code
<u>Ralph T. Inouye</u>	<u>(808)834-1085</u>	
Name of Contact Person	Telephone Number	
<u>rinouye@alakaimechanical.com</u>		
Email Address		

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: 23822

4. Type of Work: Flashing & Sheet Metal, Plumbing and Air Conditioning & Ventilation

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 1974

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input checked="" type="checkbox"/> More than \$17,000,000

Honolulu Rail Transit Project
Form 04

Attachment 1.7(b)

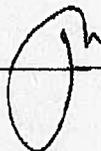
CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

*Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions*

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)

Signed



Ralph T. Inouye, President

Typed or Printed Name

Alaka'i Mechanical Corporation

Company Name

November 16, 2015

Date

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

Alaka'i Mechanical Corporation
The CONTRACTOR, _____, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: Alaka'i Mechanical Corporation

Signature: 

Print Name: Ralph T. Inouye

Title: President

Date: November 16, 2015

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

A Team Pacific Roofing, Inc.

Name of Firm (dba if applicable)

91-226 Kauhi St. Box 102 Kapolei, Hawaii 96707

Address	City / State	Zip Code
---------	--------------	----------

Same as above

Mailing Address	City / State	Zip Code
-----------------	--------------	----------

Jerry Parsons	808-682-8096
---------------	--------------

Name of Contact Person	Telephone Number
------------------------	------------------

jerry@usacom.org

Email Address

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: 238160, 322121, 324122, 326299, 327123

4. Type of Work: Roofing and Sheet Metal

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 2007

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input checked="" type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input type="checkbox"/> More than \$17,000,000

Honolulu Rail Transit Project
Form 04

Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

***Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions***

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)

Signed



Typed or Printed Name

Jerry Parsons

Company Name

A Team Pacific Roofing, Inc.

Date

11/16/15

Honolulu Rail Transit Project
Form 06

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The CONTRACTOR, A Team, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: A Team Pacific Roofing, Inc.
Signature: [Signature]
Print Name: Serry Parsons
Title: Vice President
Date: 11/16/15

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

STANDARD SHEETMETAL AND MECHANICAL INC
 Name of Firm (dba if applicable)

220 KALUHI ST HON, HI 96819
 Address City / State Zip Code

DITTO
 Mailing Address City / State Zip Code

STANLEY SALCEDO 808-839-0909
 Name of Contact Person Telephone Number

admin @ standardhawaii.com
 Email Address

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: BC-21228
238220

4. Type of Work: HVAC

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 1997

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input checked="" type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input checked="" type="checkbox"/> More than \$17,000,000

Honolulu Rail Transit Project
Form 04

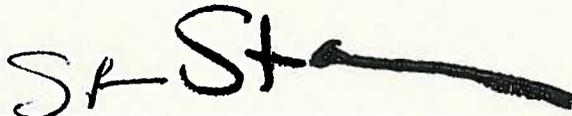
Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

***Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions***

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)



Signed

STANLEY SALCEDO

Typed or Printed Name

STANDARD SHEETMETAL AND MECHANICAL INC

Company Name

NOV. 17. 2015

Date

Honolulu Rail Transit Project
Form 06

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) **No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned,** to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) **If any funds other than Federal appropriated funds have been paid or will be paid** to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

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The CONTRACTOR, _____, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: STANDARD SHEETMETAL & MECHANICAL INC.
Signature: [Handwritten Signature]
Print Name: STANLEY SALCEDO
Title: PRESIDENT
Date: NOV. 17 2015

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

BIDDER REGISTRATION FORM

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1. Bidder Information:

HARRIS REBAR SOUTH PACIFIC, INC.

Name of Firm (dba if applicable)

91-178 KALAELOA BLVD	KAPOLEI, HI	96707
Address	City / State	Zip Code

-SAME AS ABOVE-

Mailing Address	City / State	Zip Code
-----------------	--------------	----------

Doug Ewart/ Branch Manager	808-682-4766
Name of Contact Person	Telephone Number

dewart@harrisrebar.com/ rgamboa@harrisrebar.com

Email Address

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input checked="" type="checkbox"/> Material Supplier
<input checked="" type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: 332312

4. Type of Work: Supply & Installation of Reinforcing Steel

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 1990

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input checked="" type="checkbox"/> More than \$17,000,000

Honolulu Rail Transit Project
Form 04

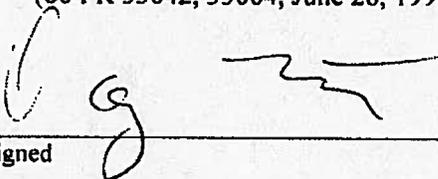
Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

***Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
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- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)


Signed _____

Doug Ewart
Typed or Printed Name

Harris Rebar South Pacific, Inc.
Company Name

11/16/2015
Date

Honolulu Rail Transit Project
Form 06

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

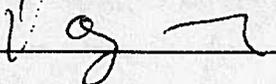
- (1) **No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned,** to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
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The CONTRACTOR, _____, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: Harris Rebar South Pacific Inc

Signature: 

Print Name: Doug Ewart

Title: Branch Manager

Date: 11.17.2015

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

R+M Painting LLC
Name of Firm (dba if applicable)

86-088 Farrington Hwy, Suite 105, Waianae HI 96792
Address City / State Zip Code

Same
Mailing Address City / State Zip Code

Roy Keelelaau 696-9634
Name of Contact Person Telephone Number

rmpainting@hawaii.rm.com
Email Address

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: 238320

4. Type of Work: Painting

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 2005

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input checked="" type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input type="checkbox"/> More than \$17,000,000

Honolulu Rail Transit Project
Form 06

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) **No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.**
- (2) **If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.).]**
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The CONTRACTOR, R+M Painting, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: R+M Painting LLC
Signature: Roy Kaululaau
Print Name: Roy Kaululaau
Title: President
Date: 11/16/15

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

Honolulu Rail Transit Project
Form 04

Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

***Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions***

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)



Signed

Roy Kaululaau

Typed or Printed Name

R + M Painting LLC

Company Name

11/16/15

Date

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

Birdair, Inc.

Name of Firm (dba if applicable)

65 Lawrence Bell Drive

Amherst, New York

14221

Address

City / State

Zip Code

Same as Above

Mailing Address

City / State

Zip Code

David Andrews

716-633-9500

Name of Contact Person

Telephone Number

Dandrews@birdair.com

Email Address

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: 314912

4. Type of Work: Tensile Fabric Architecture

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 1956

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input checked="" type="checkbox"/> More than \$17,000,000

Honolulu Rail Transit Project
Form 04

Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

***Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions***

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)

Signed



Mitsuo Sugimoto
Typed or Printed Name

Birdair, Inc.
Company Name

November 13, 2015
Date

**Honolulu Rail Transit Project
Form 05**

Attachment 1.8(a)

**BUY AMERICA CERTIFICATE WITH
BUY AMERICA REQUIREMENTS**

This procurement is subject to the Federal Transit Administration's (FTA's) Buy America Requirements (49 C.F.R. part 661).

The "Certificate of Compliance with Buy America Requirements" (Form 7a) or the "Certificate of Non-Compliance with Buy America Requirements" (Form 7b) must be completed and submitted with the bid proposal. After the Bids Due Date, each Offeror is bound by its original certification. However, in accordance with 49 USC 5323(j)(8), an Offeror may have the opportunity to correct an inadvertent error in its certification. An Offeror may correct any certification of noncompliance or failure to properly complete this certification if the Offeror attests under penalty of perjury that it submitted an incorrect certification as a result of an inadvertent or clerical error. The burden of establishing such inadvertent or clerical error is on the Offeror. The Offeror's failure to sign the certification is not considered an inadvertent or clerical error. A bid proposal which does not include the certificate will be considered non-responsive.

A false certification is a criminal act in violation of 18 U.S.C. section 1001. Should this procurement be investigated, the successful Offeror has the burden of proof to establish that it is in compliance.

A waiver of Buy America requirements may be sought by HART if grounds for the waiver exist; however, the Offeror certifies that it will comply with the applicable Buy America requirements if a waiver of those requirements is not available.

**ATTACHMENT "A"
LETTER OF ASSENT**

**Director, Department of Transportation Services
City and County of Honolulu
650 S. King Street, 3rd Floor
Honolulu, Hawaii 96813**

**RTSA Administrator, Department of Transportation Services
City and County of Honolulu
650 S. King Street, 3rd Floor
Honolulu, Hawaii 96813**

Dear Sir or Madam,

**Subject: Project Labor Agreement for the Honolulu High-Capacity
Transit Corridor Project
HART Kamehameha Highway Stations RFP No. HRT-838104
(title of contract)**

This is to certify that the undersigned Contractor-Employer has examined a copy of the Rapid Transit Stabilization Agreement (RTSA) dated November 17, 2009, between the City and County of Honolulu and the Carpet, Linoleum & Softile Local 1926; Drywall, Tapers & Finishers Local 1944; Glaziers Architectural Metal & Glass Workers Local 1889; International Union of Bricklayers & Allied Craft Workers Local 1; International Union of Painters & Allied Craft Workers Local 1791; Ironworkers Shopmen Local 803; Iron Workers Local 625; Laborers' International Union of North America, Local 368; Operative Plasterer's & Cement Masons International Association Local 630; Roofers, Waterproofers & Allied Workers United Union of Roofers Local 221. The undersigned Contractor-Employer hereby agrees to comply with all of the terms and conditions of the RTSA as such RTSA may, from time to time, be amended or interpreted pursuant to its terms.

It is understood that the signing of the Letter of Assent shall be as binding on the undersigned Contractor-Employer as though the undersigned Contractor-Employer had signed the above-referenced RTSA. The Contractor-Employer agrees that all its subcontractors, of whatever tier, shall execute the Letter of Assent and agree to be bound by the RTSA for all work within the scope of the Construction Contract covered under the Project definition in the RTSA.

This Letter of Assent shall become effective and binding upon the undersigned Contractor-Employer on this 13th day of November, 2015, and shall

**ATTACHMENT "A"
LETTER OF ASSENT**

remain in full force and effect until the completion of the above-identified Contractor-Employer's Construction Contract. Upon signing this Letter of Assent, Contractor-Employer shall issue notice to the parties to the RTSA in the form attached as Attachment B (Union Addresses), providing the Contractor-Employer's address at which notice is to be provided when notice to the Contractor-Employer is required under the provisions of the RTSA.

Sincerely,

Contractor-Employer

By: 
Its: President

Honolulu Rail Transit Project
Form 06

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) **No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned,** to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.

(2) **If any funds other than Federal appropriated funds have been paid or will be paid** to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The CONTRACTOR, Birdair, Inc., certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: Birdair, Inc.

Signature: 

Print Name: Mitsuo Sugimoto

Title: President

Date: 11/17/2015

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

Grace Pacific LLC

Name of Firm (dba if applicable)		
PO Box 78	Honolulu, HI	96810
Address	City / State	Zip Code
PO Box 78	Honolulu, HI	96810
Mailing Address	City / State	Zip Code
Lani Fukunaga	808-842-3235	
Name of Contact Person	Telephone Number	
lfukunaga@gracepacific.com		
Email Address		

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. **NAICS Code:** 237310

4. **Type of Work:** AC Paving

5. **Currently Certified as a DBE?** Yes No

6. **Year that firm was established:** 1931

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input checked="" type="checkbox"/> More than \$17,000,000

Honolulu Rail Transit Project
Form 04

Attachment 1.7(b)

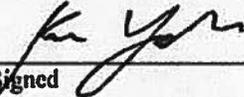
CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

***Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions***

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.

- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)



Signed

Kevin Yamabayashi

Typed or Printed Name

Grace Pacific LLC

Company Name

11/17/15

Date

Honolulu Rail Transit Project
Form 06

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

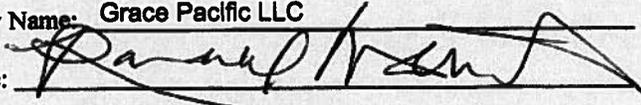
The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) **No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned,** to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) **If any funds other than Federal appropriated funds have been paid or will be paid** to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The CONTRACTOR, Grace Pacific LLC, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: Grace Pacific LLC
Signature: 
Print Name: Randall I. Matsumoto
Title: Estimating Manager
Date: 11/17/15

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

ASSOCIATED STEEL WORKERS LTD.

Name of Firm (dba if applicable)

91-156 KALAELOA BLVD. KAPOLEI, HI 96707

Address

City / State

Zip Code

Mailing Address

City / State

Zip Code

STEVEN TOGAMI

808 682-5588 x230

Name of Contact Person

Telephone Number

steve@aswrebar.com

Email Address

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: 03200

4. Type of Work: CONCRETE REINFORCING

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 1948

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input checked="" type="checkbox"/> More than \$17,000,000

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) **No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned,** to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) **If any funds other than Federal appropriated funds have been paid or will be paid** to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

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[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The CONTRACTOR, ~~ASSOCIATED~~ ^{STEEL WORKERS, LTD.}, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: ASSOCIATED STEEL WORKERS, LTD.
Signature: [Signature]
Print Name: STEVEN T. TOGAMI
Title: CORP. SEC.
Date: 11/17/15

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

Honolulu Rail Transit Project
Form 04

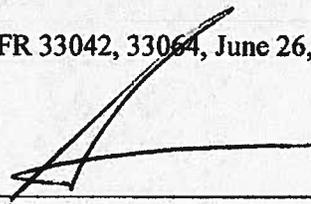
Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

*Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions*

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)



Signed

STEVEN T. TOGAMI

Typed or Printed Name

ASSOCIATED STEEL WORKERS, LTD.

Company Name

11/17/15

Date

Honolulu Rail Transit Project
Form 04

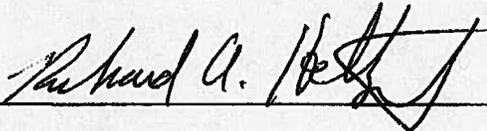
Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

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- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)



Signed

Richard A. Heltzel, President

Typed or Printed Name

Healy Tibbitts Builders, Inc.

Company Name

November 17, 2015

Date

Honolulu Rail Transit Project
Form 06

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

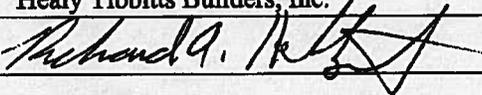
The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) **No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned,** to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.
- (2) **If any funds other than Federal appropriated funds have been paid or will be paid** to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The CONTRACTOR, HTBI, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: Healy Tibbitts Builders, Inc.
Signature: 
Print Name: Richard A. Heltzel
Title: President
Date: November 17, 2015

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.

BIDDER REGISTRATION FORM

Pursuant to 49 CFR 26.11(c), the Honolulu Authority for Rapid Transportation (HART) is required to create and maintain a bidders list consisting of all firms that bid on prime contracts or quoting subcontracts on federal assisted projects. All interested parties (Consultants, Vendors, Contractors, Suppliers, Manufacturers, Truckers, etc.) are required to register with HART.

1. Bidder Information:

Paul's Electrical Contracting, LLC
Name of Firm (dba if applicable)

99-1400 Koaha Place Aiea, HI 96701
Address City / State Zip Code

99-1400 Koaha Place Aiea, HI 96701
Mailing Address City / State Zip Code

Paul M. Adachi (808) 486-9866
Name of Contact Person Telephone Number

padachi@paulselectrical.com
Email Address

2. Check all that apply to the bidder:

<input type="checkbox"/> Prime Contractor	<input checked="" type="checkbox"/> Subcontractor
<input type="checkbox"/> Consultant	<input type="checkbox"/> Material Supplier
<input type="checkbox"/> Manufacturer	<input type="checkbox"/> Other

3. NAICS Code: 238210

4. Type of Work: ELECTRICAL CONTRACTOR

5. Currently Certified as a DBE? Yes No

6. Year that firm was established: 1965

7. Check which best indicates the bidder's annual gross receipts:

<input type="checkbox"/> Less than \$500,000	<input type="checkbox"/> \$500,000 to \$999,999
<input type="checkbox"/> \$1,000,000 to \$4,999,999	<input type="checkbox"/> \$5,000,000 to \$9,999,999
<input type="checkbox"/> \$10,000,000 to \$16,999,999	<input checked="" type="checkbox"/> More than \$17,000,000

Honolulu Rail Transit Project
Form 04

Attachment 1.7(b)

CERTIFICATE REGARDING INELIGIBLE SUBCONTRACTORS

***Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions***

- 1) The prospective lower tier participant (Subcontractor/Subconsultant) certifies, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Honolulu Authority for Rapid Transportation Project by any federal department or agency.
- 2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation.

(60 FR 33042, 33064, June 26, 1995)



Signed

Paul M. Adachi

Typed or Printed Name

Paul's Electrical Contracting, LLC

Company Name

17 November 2015

Date

Honolulu Rail Transit Project
Form 06

Attachment 1.9a

CERTIFICATION REGARDING LOBBYING

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for making lobbying contacts to an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions [as amended by "Government-wide Guidance for New Restrictions on Lobbying," 61 Federal Regulations 1413 (1/19/96). Note: Language in paragraph (2) herein has been modified in accordance with Section 10 of the Lobbying Disclosure Act of 1995 (P.L. 104-65, to be codified at 2 U.S.C. 1601, et seq.)].

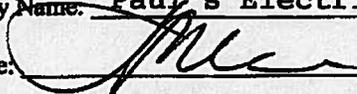
(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

[Note: Pursuant to 31 U.S.C. §1352(c)(1)-(2)(A), any person who makes a prohibited expenditure or fails to file or amend a required certification or disclosure form shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each expenditure or failure.]

The CONTRACTOR, _____, certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the CONTRACTOR understands and agrees that the provisions of 31 U.S.C. §3801, et seq., apply to this certification and disclosure, if any.

Company Name: Paul's Electrical Contracting, LLC.

Signature: _____


Print Name: Paul M. Adachi

Title: Manager

Date: 11/17, 2015

NOTE: THE CONTRACTOR IS REQUIRED PURSUANT TO FEDERAL LAW, TO INCLUDE THE ABOVE LANGUAGE IN SUBCONTRACTS OVER \$100,000 AND TO OBTAIN THIS LOBBYING CERTIFICATE FROM EACH SUBCONTRACTOR BEING PAID \$100,000 OR MORE UNDER THIS CONTRACT.